CS454 : Theory of Computation

Instructor: Thoshitha Gamage, Ph.D.
Southern Illinois University at Edwardsville

Fall 2022 Syllabus

Course Information:
- Title: CS454 : Theory of Computation (3 Credits)
- Location: EB 1145
- Time: M & W 10:00 – 11:15 a.m.
- Course Website: http://www.cs.siue.edu/~tgamage/courses/454F22
- Assignment Dropbox: https://classes.cs.siue.edu/fall-2022

Contact Information:
- Office: EB 2045
- Phone: 650-2407
- Email: tgamage@siue.edu
- Web Site: http://www.cs.siue.edu/~tgamage
- Office Hours: M & W 11:30 – 12:30 p.m. or by appointment

1 Course Objectives

This is an upper level undergraduate class in Theory of Computation. The course objectives are:

1. to understand the fundamentals of computational complexity, limitations of efficient computations, and how to reason about them;
2. to develop an understanding of what can be computed efficiently;
3. to introduce formal models of computation and build on the knowledge of CS330;
4. to develop the skills to formally reason about computational complexity through abstract machines; and
5. to develop essential problem solving and critical thinking skills requisite of a computer scientist.

2 Course Prerequisites

MATH224 (Discrete Mathematics) and CS330 (Programming Languages). If you don’t meet these prerequisites, you need to contact me immediately within the first week and get my approval. I reserve the right to drop you from the course if it becomes obvious that you do not meet the course prerequisites.

3 Textbook(s) & Resources

This course has adopted Open Educational Resources (OER) for its reference material needs. The course does not strictly follow any particular textbook. However, to aid your understanding of the course content, the following resources are referred herewith as useful student reference material. All these resources are freely and openly available online. Contact the instructor immediately if you have any difficulties reaching any of these resources.

- corresponding video lectures can be found at https://cutt.ly/gXiF7xe (or https://bit.ly/3Ajr99p)
• Introduction to Theory of Computation, Anil Maheshwari & Michiel Smid, 
• JFLAP Automata Simulator: https://www.jflap.org/

4 Assigned Work and Tentative Grading Policy

The following grade allocation breakdown is tentative, and may change during the semester. Unless the circumstances change, I am NOT planning on curving the final grade.

<table>
<thead>
<tr>
<th>Grading Allocation</th>
<th>BS</th>
<th>MS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exams</td>
<td>60%</td>
<td>55%</td>
</tr>
<tr>
<td>Midterm 01</td>
<td>15%</td>
<td></td>
</tr>
<tr>
<td>Midterm 02</td>
<td>15%</td>
<td></td>
</tr>
<tr>
<td>Final (comprehensive!!)</td>
<td>30%  / 25%(MS)</td>
<td></td>
</tr>
<tr>
<td>Participation &amp; Scribing</td>
<td>5%</td>
<td>5%</td>
</tr>
<tr>
<td>Problem Solving</td>
<td>35%</td>
<td>25%</td>
</tr>
<tr>
<td>Graduate Standing Project</td>
<td>–</td>
<td>15%</td>
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</table>

Final Letter Grade

<table>
<thead>
<tr>
<th>Score</th>
<th>Grade</th>
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</thead>
<tbody>
<tr>
<td>[88 +]</td>
<td>A</td>
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<tr>
<td>[79–88]</td>
<td>B</td>
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<td>[70–79]</td>
<td>C</td>
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<td>[60–70]</td>
<td>D</td>
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<tr>
<td>&lt; 60</td>
<td>F</td>
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</tbody>
</table>

4.1 Exams

All exams and quizzes will be held in the lecture room.

<table>
<thead>
<tr>
<th>Exam</th>
<th>Date</th>
<th>Time</th>
<th>Duration</th>
</tr>
</thead>
<tbody>
<tr>
<td>Midterm 01</td>
<td>Monday September 26th</td>
<td>10:00 – 11:15 a.m.</td>
<td>(75 mins)</td>
</tr>
<tr>
<td>Midterm 02</td>
<td>Wednesday October 26th</td>
<td>10:00 – 11:15 a.m.</td>
<td>(75 mins)</td>
</tr>
<tr>
<td>Final</td>
<td>Thursday December 15th</td>
<td>10:00 – 11:40 a.m.</td>
<td>(100 mins)</td>
</tr>
</tbody>
</table>

4.2 Class Participation

You are expected to proactively participate in in-class discussions. This aids your learning and that of your classmates, and provides valuable feedback on the lecture. Constructive and proactive participation in in-class discussions and scribing accounts for 5% of your final grade. I, therefore, expect you to attend each and every class. In general, you are expected to read the relevant sections from the reference textbook(s) in preparation for each lecture (see Tentative Schedule below). I will try my best to direct you to other relevant resources wherever applicable, but I fully expect you to take the responsibility of your own learning and come to the class as much prepared as you can.

Scribe notes are due through Moodle within 48 hours after the lecture. A scribe will be named at the beginning of each lecture by the instructor. Scribe notes serve as a baseline set of complementary notes to you and to your colleagues, hence please pay your due diligence to make them legible.

4.3 Problem Solving

There will be roughly ~6-7 in-class problem solving sessions. In preparation, students are required to watch the relevant video lectures from Prof. Hefferon’s channel and/or Prof. Sipser’s channel (whichever one you feel easy for you to digest). I have noted down the relevant videos on the schedule below. It’s best if you can follow the whole video series as closely as you can throughout the course.

4.4 Graduate Standing Project

Graduate students are required to conduct a mini-research project that is worth 15% of their final grade. A typical graduate level research of this scope would include a fairly comprehensive literature survey that refers a minimum of 15-20 highly cited research papers, culminating to a taxonomy and some empirical validation. In

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other words, your objective is to develop a hypothesis based on your readings and see if you validate it with baseline experimentation. You are free to choose a topic of your choice relevant to the theme of this course. Topics that intersects with Cybersecurity are highly favorable. Here’s a short guide on How to Read a Paper: http://ccr.sigcomm.org/online/files/p83-keshavA.pdf.

Places to look for a research topic includes (but not limited to) IEEE Symposium on Foundations of Computer Science (FOCS), ACM Annual Symposium on the Theory of Computing (STOC), ACM-SIAM Symposium on Discrete Algorithms (SODA), IEEE Conference on Computational Complexity (CCC). Your research should be relevant to this course and Cybersecurity, with implementations and empirical evaluations highly favorable over other types of projects. Here’s a good sample for your review: https://dl.acm.org/citation.cfm?id=3047307.

Important milestones for your project are listed below. All assignments are due at the beginning of class through Moodle.

- Wednesday September 07th, 2022 – A one page research proposal and a justification of your proposed research. (M1)
- Wednesday October 19th, 2022 – ~3-4 page research progress summary. (M2)
- Wednesday December 08th, 2022 – Final report. (M4)

You are to present your research to the class at the conclusion of your research during weeks 15 and 16. In addition, you are required to produce an IEEE conference style minimum 8-page paper of your research. A template can be found at http://www.ieee.org/conferences_events/conferences/publishing/templates.html. You are highly encouraged to produce your report using Latex.

I reserve the right to decide which projects meet graduate standing and lower the grade for those who don’t; hence, make sure to clearly exchange your research ideas with me, find out about my expectations, and set yourself up for success early in the semester.

In addition, graduate students may have additional mandatory questions in exams. Accordingly, graduate students will be graded on separate scale. Please refer Section 4 for the scale.

5 Classroom Policies

5.1 Attendance Policy

You are expected to attend all live lectures and proactively participate in in-class discussions and Q&A. Whenever applicable, lecture recordings and digital scribe notes will be made available to you through Discord. However, it is important for you to pay attention to the live lectures and take your own notes, rather than solely relying on my recorded lectures; recorded videos are not meant to be a substitute for missing classes, and I’ve had unplanned recording failures in the past.

6 COVID-19 Pandemic Policies Related to Classroom Instruction (Fall 2022)

6.1 Health and Safety

The measures outlined below are required and any student who does not comply may be in violation of the COVID-19 People-Focused Health and Safety Policy, as well as the University’s Student Code of Conduct. The full text of the COVID-19 People-Focused Health and Safety Policy can be found here: https://www.siue.edu/policies/Covid.shtml

6.2 Classrooms, Labs, Studios, and Other Academic Spaces


Individual faculty of record may determine that masking will be required in their classrooms and are asked to communicate accordingly with students. Face masks may be required in other campus sites following guidance from governing regulatory agencies.

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• Students who forget to wear a face covering when masking is required will be reminded of their obligation to comply with SIUE’s COVID-19 People-Focused Health and Safety Policy and temporarily asked to leave the class until they are able to conform to the policy. Students who forget or lose their face coverings when masking is required may be able to obtain replacements from a friend, a faculty member, or a nearby departmental office. Face coverings are also available for purchase in the Cougar Store (MUC).
• Students who refuse to wear a face covering when masking is required will be asked to leave the classroom and referred to the Dean of Students for non-compliance with community health and safety protocols. Repeated non-compliance may result in disciplinary actions, including the student being administratively dropped from an on-ground/face-to-face course or courses without refund if no alternative course format is available.
• If a student has a documented health condition which makes wearing a face covering medically intolerable, that student should contact ACCESS to explore options with the understanding that ACCESS will not grant accommodations which excuse the need for a face covering while on campus or in the classroom. ACCESS will work with qualifying individuals to find reasonable alternatives, whenever such solutions are available. Please call or contact the ACCESS Office via email to schedule an online appointment to discuss potential alternatives. ACCESS office (Student Success Center, Room 1203, 618-650-3726, and myaccess@siue.edu).

6.3 General Health Measures
Students and employees are expected to review the siue.edu/coronavirus website (https://www.siue.edu/about/announcements/coronavirus/) to better understand prevention strategies and safety expectations.

• Students and employees are expected to maintain healthy hygiene practices.
• Students and employees are expected to follow COVID-related guidelines and directions.
• Students and employees are expected to conduct a daily health self-assessment and isolate themselves if COVID-related symptoms are present. COVID-related symptoms include:
  – Fever (100.4 degrees or above) or chills
  – Cough
  – Shortness of breath or difficulty breathing
  – Fatigue
  – Muscle or body aches
  – Headache
  – New loss of taste or smell
  – Sore throat
  – Congestion or runny nose
  – Nausea or vomiting
  – Diarrhea

6.4 Academic Integrity
Students are reminded that the expectations and academic standards outlined in the Student Academic Code (3C2) apply to all courses, field experiences and educational experiences at the University, regardless of modality or location. The full text of the policy can be found here: https://www.siue.edu/policies/3c2.shtml.

6.5 Recordings of Class Content
Faculty recordings of lectures and/or other course materials are meant to facilitate student learning and to help facilitate a student catching up who has missed class due to illness or quarantine. As such, students are reminded that the recording, as well as replicating or sharing of any course content and/or course materials without the express permission of the instructor of record, is not permitted, and may be considered a violation of the University’s Student Conduct Code (3C1), linked here: https://www.siue.edu/policies/3c1.shtml.
6.6 Potential for Changes in Course Schedule or Modality

As the COVID-19 pandemic continues, there remains a possibility that planned classroom activities will need to be adjusted. Depending on circumstances and following state-issued recommendations, potential changes include alterations to distancing requirements, course modality (e.g., transition from face-to-face to online, hybrid, or hy-flex, mask wearing, in-course activities, etc). These changes would be implemented to ensure the successful completion of the course while preserving health and safety. In these cases, students may be provided with an addendum to the class syllabus that will supersede the original version. If the course schedule or modifications significantly alter expectations, a new syllabus will be issued.

7 Responsible Learning Policy

There is a no tolerance policy with regards to cheating. **Anyone caught cheating will fail the course.** Do your own work. Your exams, homework, and programming projects are subject to the academic honor code. Following activities will be considered academic dishonesty:

- Submitting work (such as assigned work, projects, and code) done by somebody else (this includes any human/electronic sources (such as web sites));
- Watching and copying your neighbors’ solutions during problem solving and/or exams;
- Collaboratively develop solutions to individual assignments;
- Using materials not allowed during problem solving and exams;
- Using materials not allowed for the programming projects.

You are expected to know and observe the SIUE Student Conduct Code (3C1) and Student Academic Code (3C2) found at [http://www.siue.edu/policies](http://www.siue.edu/policies). If you are unsure about what constitutes as plagiarism, check this website: [https://www.siue.edu/education/psychology/plagiarism.shtml](https://www.siue.edu/education/psychology/plagiarism.shtml).

7.1 Online Repositories

If you intend to keep any project source code in online repositories, ensure those repositories are **private and only accessible to you**. By making source code publicly available to others, you might be involuntarily participating in plagiarism.

7.1.1 Advice

This course will require a substantial amount of time reading and solving problems outside of class time. It is imperative that you keep up with the assigned reading and other tasks as much as possible. If you do not, it will be very difficult to be successful in this course.

Know the information, how to approach the problem/solution, and present it in a clear and organized manner. On exams and in programming projects, you are attempting to demonstrate understanding of concepts and the ability to solve problems. If I have to try to determine how you came up with your answer, then you will **not** receive full credit.

The following conditions are subject to loss of some or all credit for a given problem:

- Illegible work/answers
- work/answers that cannot be easily located
- no work
- missing/incorrect units
- compile-time and/or run-time errors

Solutions which clearly demonstrate understanding of the material, but have a minor error may receive partial credit. The final score for such problems is at the discretion of the grader and/or the instructor.

a. Don’t wait until the last minute to do homework or projects. Labs get busy, computers break down, and people get sick. These are not sufficient excuses for an extension.

b. Save early; save often!
c. Contact me if you are confused. Don’t wait for office hours; send a Discord DM or an email.
d. I strongly discourage you from getting into discussions with me about grades and how you can get a better one. This includes emailing me about possible ways to “bump” your grade. Such requests only mean one thing; that you have already fallen behind on your own expectations.

8 Accessible Campus Community & Equitable Student Support

Students needing accommodations because of medical diagnosis or major life impairment will need to register with Accessible Campus Community & Equitable Student Support (ACCESS) and complete an intake process before accommodations will be given. Students who believe they have a diagnosis but do not have documentation should contact ACCESS for assistance and/or appropriate referral. The ACCESS office is located in the Student Success Center, Room 1270. You can also reach the office by e-mail at myaccess@siue.edu or by calling 618.650.3726. For more information on policies, procedures, or necessary forms, please visit the ACCESS website at www.siue.edu/access.
9 Tentative Schedule*

*Subject to adjustment and change: I reserve the right to change topics, add items of related interest, or adjust the schedule. All changes will be announced in class.

9.1 CS454 in a Nutshell

<table>
<thead>
<tr>
<th>Week</th>
<th>Topics</th>
<th>Reference Video</th>
<th>Assignments/Exams</th>
</tr>
</thead>
<tbody>
<tr>
<td>01</td>
<td>Introduction and Course Overview</td>
<td>JH1e/4.1 or MIT/1</td>
<td></td>
</tr>
<tr>
<td>02</td>
<td>Deterministic Finite State Automata (DFA)</td>
<td></td>
<td>S1 (in-class)</td>
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<tr>
<td></td>
<td>Non-Deterministic Finite State Automata (NFA)</td>
<td></td>
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<tr>
<td>03</td>
<td>Labor Day</td>
<td>Regular Expressions and Regular Grammars</td>
<td>M1 &lt; in</td>
</tr>
<tr>
<td>04</td>
<td>Closure Properties</td>
<td>Non-Regular Languages, Pumping Lemma</td>
<td>JH1e/4.5 or MIT/3</td>
</tr>
<tr>
<td>05</td>
<td>Context-Free Languages (CFL)</td>
<td>Context-Free Grammars</td>
<td></td>
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<tr>
<td>06</td>
<td>† Midterm Exam I: Monday September 26th 10:00 – 11:15 a.m.</td>
<td>JH1e/4.7 or MIT/4</td>
<td>S3 (in-class)</td>
</tr>
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<td></td>
<td>Push-Down Automata, Normal Forms</td>
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<tr>
<td>07</td>
<td>Pumping Lemma for CFL</td>
<td>CFL Properties and Equivalence</td>
<td>MIT/5</td>
</tr>
<tr>
<td>08</td>
<td>Recursively-Enumerable Languages (REL):</td>
<td>Recursive vs. REL</td>
<td>JH1e/1.1 or MIT/5</td>
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<tr>
<td>09</td>
<td>Turing Machines (TM)</td>
<td></td>
<td>S5 (in-class)</td>
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<td></td>
<td>M2 &lt; in</td>
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<tr>
<td>10</td>
<td>Halting Problem</td>
<td>† Midterm Exam II: Wednesday October 26th 10:00 – 11:15 a.m.</td>
<td>MIT/7 and MIT/8</td>
</tr>
<tr>
<td>11</td>
<td>instructor on travel</td>
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<tr>
<td>12</td>
<td>Computational Complexity: Undecidability</td>
<td>MIT/4, 5, 5 and MIT/15</td>
<td>S6 (in-class)</td>
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<tr>
<td>13</td>
<td>Complexity Classes: P, NP, NP-C</td>
<td>P vs. NP</td>
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<tr>
<td>14</td>
<td>Thanksgiving Break</td>
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<tr>
<td>15</td>
<td>Satisfiability, Cook’s Theorem</td>
<td>Church-Turing Thesis</td>
<td>MIT/16</td>
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<tr>
<td>16</td>
<td>MS Presentations: Topic TBA</td>
<td></td>
<td>M4 &lt; in</td>
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<tr>
<td>17</td>
<td>Final Exam: Thursday December 15th 10:00 – 11:40 a.m.</td>
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