

# Chapter 10

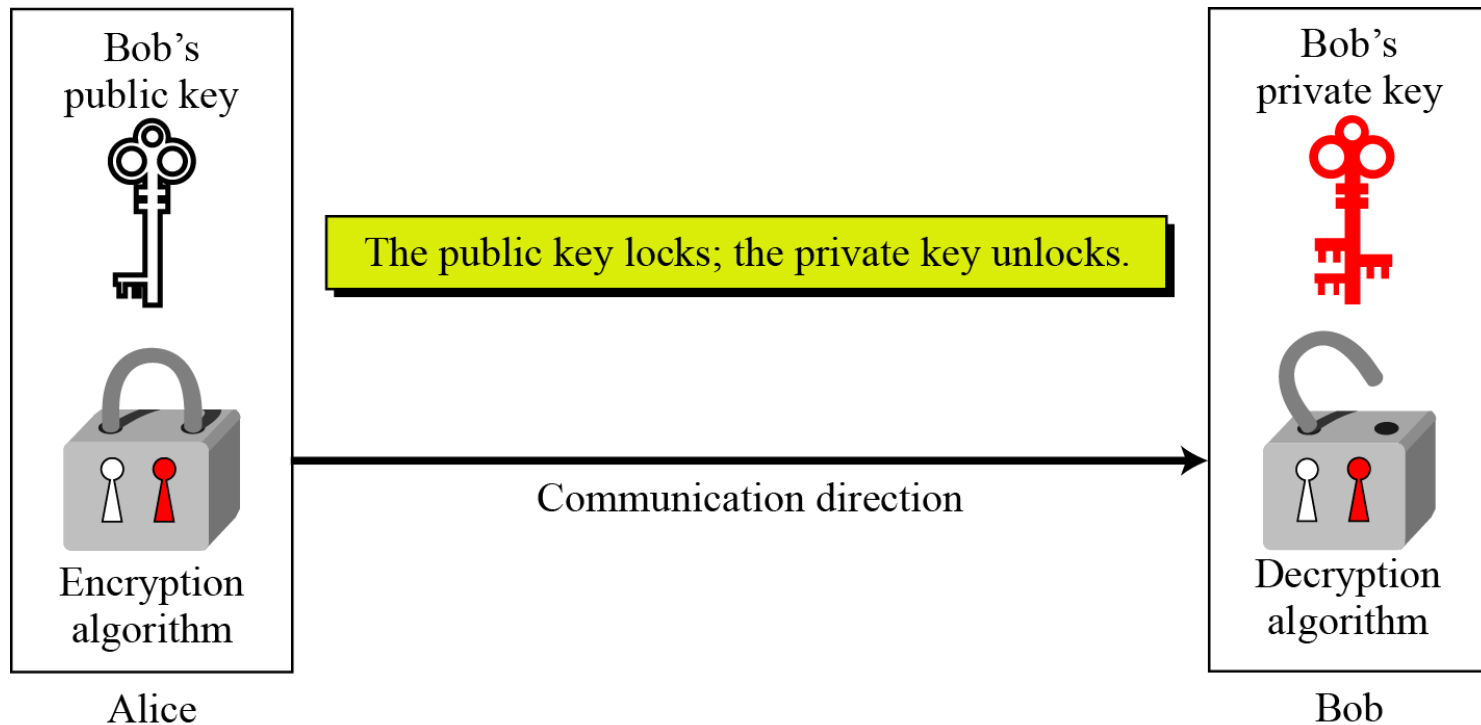
## Asymmetric-Key Cryptography

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## 10.1.1 Keys

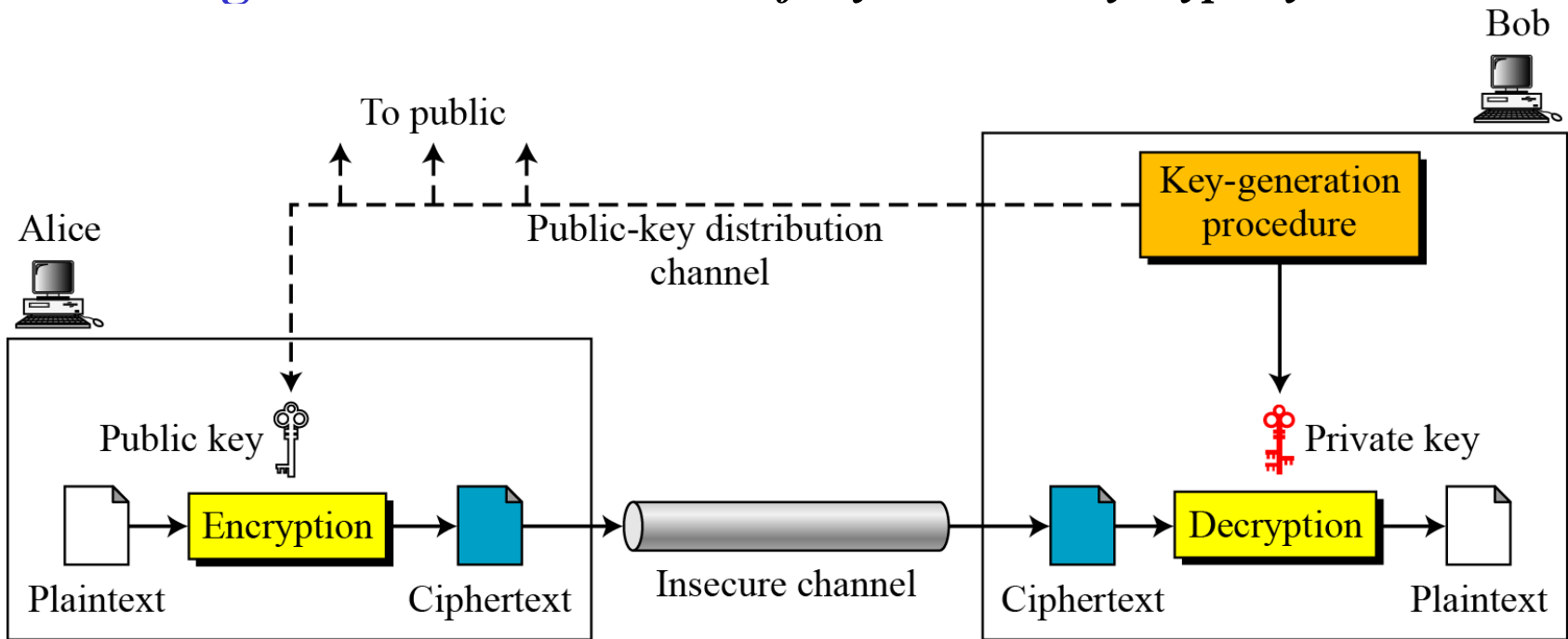
*Asymmetric key cryptography uses two separate keys: one private and one public.*

**Figure 10.1** *Locking and unlocking in asymmetric-key cryptosystem*



## 10.1.2 General Idea

Figure 10.2 General idea of asymmetric-key cryptosystem



## 10.1.2 Continued

### *Plaintext/Ciphertext*

*Unlike in symmetric-key cryptography, plaintext and ciphertext are treated as integers in asymmetric-key cryptography.*

### *Encryption/Decryption*

$$C = f(K_{\text{public}}, P) \quad P = g(K_{\text{private}}, C)$$



## *10.1.3 Need for Both*

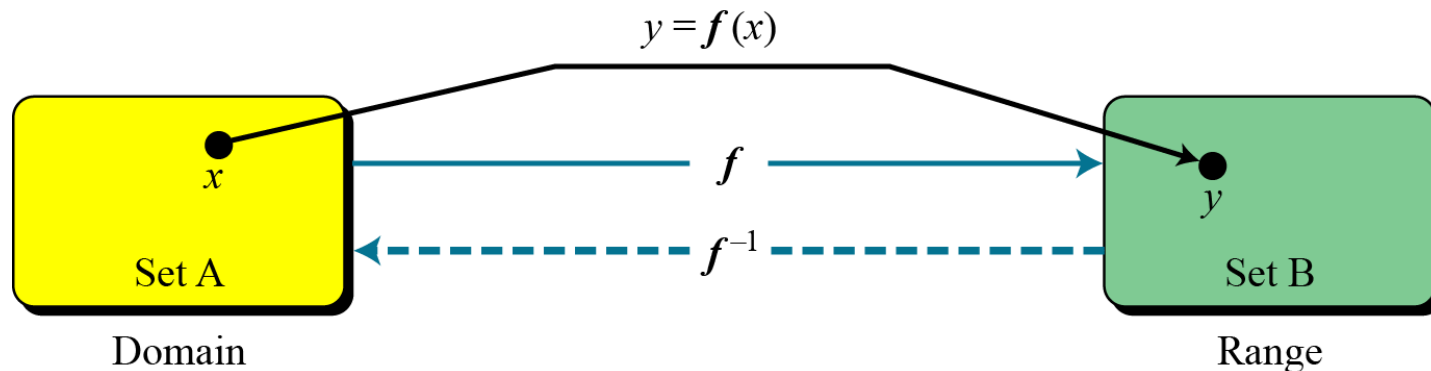
*There is a very important fact that is sometimes misunderstood: The advent of asymmetric-key cryptography does not eliminate the need for symmetric-key cryptography.*

## 10.1.4 Trapdoor One-Way Function

*The main idea behind asymmetric-key cryptography is the concept of the trapdoor one-way function.*

### Functions

**Figure 10.3** *A function as rule mapping a domain to a range*



## 10.1.4 Continued

### *One-Way Function (OWF)*

- 1.  $f$  is easy to compute.*
- 2.  $f^{-1}$  is difficult to compute.*

### *Trapdoor One-Way Function (TOWF)*

- 3. Given  $y$  and a trapdoor,  $x$  can be computed easily.*

## 10.1.4 Continued

### Example 10.1

When  $n$  is large,  $n = p \times q$  is a one-way function. Given  $p$  and  $q$ , it is always easy to calculate  $n$ ; given  $n$ , it is very difficult to compute  $p$  and  $q$ . This is the factorization problem.

### Example 10.2

When  $n$  is large, the function  $y = x^k \bmod n$  is a trapdoor one-way function. Given  $x$ ,  $k$ , and  $n$ , it is easy to calculate  $y$ . Given  $y$ ,  $k$ , and  $n$ , it is very difficult to calculate  $x$ . This is the discrete logarithm problem. However, if we know the trapdoor,  $k'$  such that  $k \times k' = 1 \bmod \phi(n)$ , we can use  $x = y^{k'} \bmod n$  to find  $x$ .



## 10.1.5 Knapsack Cryptosystem

### Definition

$a = [a_1, a_2, \dots, a_k]$  and  $x = [x_1, x_2, \dots, x_k]$ .

$$s = \text{knapsackSum}(a, x) = x_1a_1 + x_2a_2 + \dots + x_ka_k$$

*Given  $a$  and  $x$ , it is easy to calculate  $s$ . However, given  $s$  and  $a$  it is difficult to find  $x$ .*

### Superincreasing Tuple

$$a_i \geq a_1 + a_2 + \dots + a_{i-1}$$

## 10.1.5 Continued

**Algorithm 10.1** *knapsacksum and inv\_knapsackSum for a superincreasing k-tuple*

**knapsackSum** ( $x [1 \dots k], a [1 \dots k]$ )

```
{
   $s \leftarrow 0$ 
  for ( $i = 1$  to  $k$ )
  {
     $s \leftarrow s + a_i \times x_i$ 
  }
  return  $s$ 
}
```

**inv\_knapsackSum** ( $s, a [1 \dots k]$ )

```
{
  for ( $i = k$  down to  $1$ )
  {
    if  $s \geq a_i$ 
    {
       $x_i \leftarrow 1$ 
       $s \leftarrow s - a_i$ 
    }
    else  $x_i \leftarrow 0$ 
  }
  return  $x [1 \dots k]$ 
}
```

## 10.1.5 Continued

### Example 10.3

As a very trivial example, assume that  $a = [17, 25, 46, 94, 201, 400]$  and  $s = 272$  are given. Table 10.1 shows how the tuple  $x$  is found using `inv_knapsackSum` routine in Algorithm 10.1. In this case  $x = [0, 1, 1, 0, 1, 0]$ , which means that 25, 46, and 201 are in the knapsack.

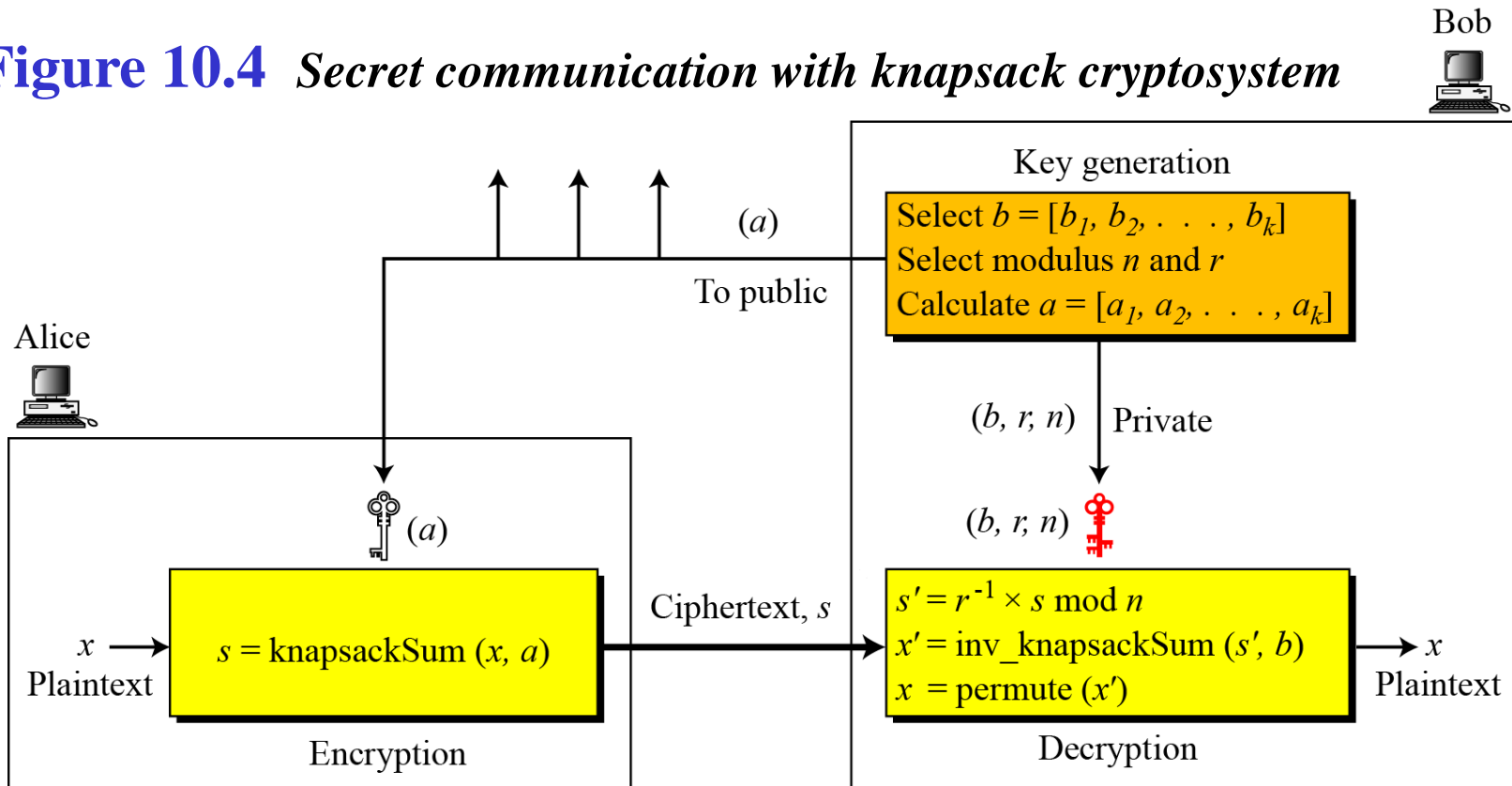
**Table 10.1** Values of  $i$ ,  $a_i$ ,  $s$ , and  $x_i$  in Example 10.3

$i$	$a_i$	$s$	$s \geq a_i$	$x_i$	$s \leftarrow s - a_i \times x_i$
6	400	272	false	$x_6 = 0$	272
5	201	272	true	$x_5 = 1$	71
4	94	71	false	$x_4 = 0$	71
3	46	71	true	$x_3 = 1$	25
2	25	25	true	$x_2 = 1$	0
1	17	0	false	$x_1 = 0$	0

# 10.1.5 Continued

## Secret Communication with Knapsacks.

Figure 10.4 Secret communication with knapsack cryptosystem



# 10.1.5 Continued

## Example 10.4

This is a trivial (very insecure) example just to show the procedure.

1. Key generation:
  - a. Bob creates the superincreasing tuple  $b = [7, 11, 19, 39, 79, 157, 313]$ .
  - b. Bob chooses the modulus  $n = 900$  and  $r = 37$ , and  $[4\ 2\ 5\ 3\ 1\ 7\ 6]$  as permutation table.
  - c. Bob now calculates the tuple  $t = [259, 407, 703, 543, 223, 409, 781]$ .
  - d. Bob calculates the tuple  $a = \text{permute}(t) = [543, 407, 223, 703, 259, 781, 409]$ .
  - e. Bob publicly announces  $a$ ; he keeps  $n$ ,  $r$ , and  $b$  secret.
  
2. Suppose Alice wants to send a single character “g” to Bob.
  - a. She uses the 7-bit ASCII representation of “g”,  $(1100111)_2$ , and creates the tuple  $x = [1, 1, 0, 0, 1, 1, 1]$ . This is the plaintext.
  - b. Alice calculates  $s = \text{knapsackSum}(a, x) = 2165$ . This is the ciphertext sent to Bob.
  
3. Bob can decrypt the ciphertext,  $s = 2165$ .
  - a. Bob calculates  $s' = s \times r^{-1} \bmod n = 2165 \times 37^{-1} \bmod 900 = 527$ .
  - b. Bob calculates  $x' = \text{Inv\_knapsackSum}(s', b) = [1, 1, 0, 1, 0, 1, 1]$ .
  - c. Bob calculates  $x = \text{permute}(x') = [1, 1, 0, 0, 1, 1, 1]$ . He interprets the string  $(1100111)_2$  as the character “g”.

## 10-2 RSA CRYPTOSYSTEM

*The most common public-key algorithm is the RSA cryptosystem, named for its inventors (Rivest, Shamir, and Adleman).*

### Topics discussed in this section:

**10.2.1 Introduction**

**10.2.2 Procedure**

**10.2.3 Some Trivial Examples**

**10.2.4 Attacks on RSA**

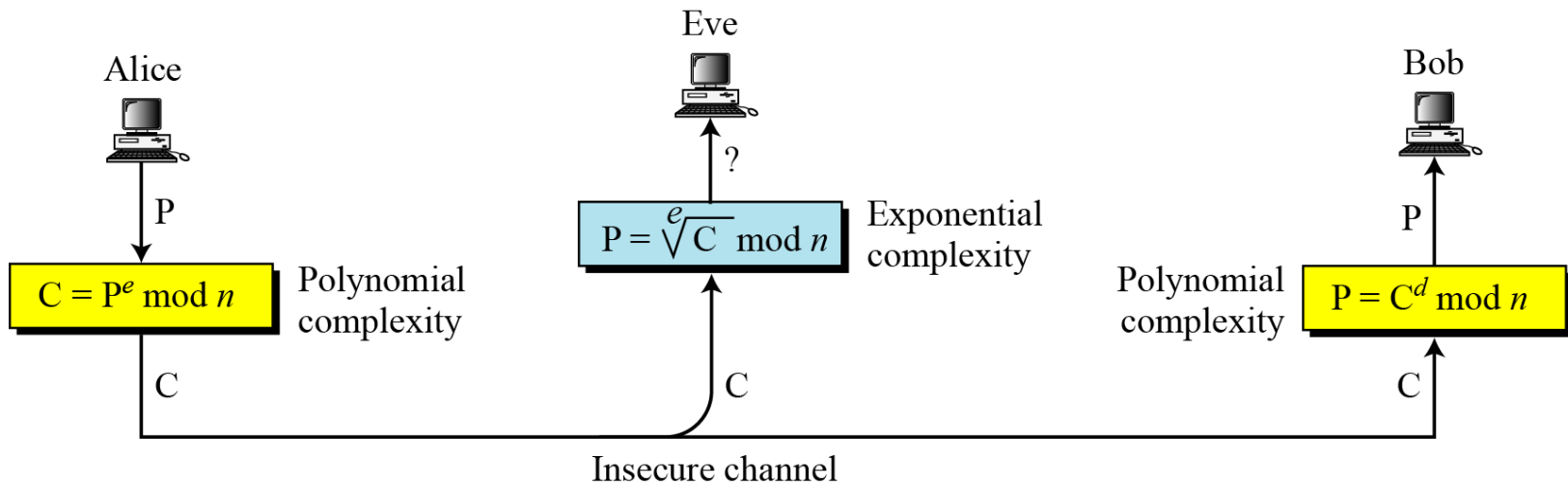
**10.2.5 Recommendations**

**10.2.6 Optimal Asymmetric Encryption Padding (OAEP)**

**10.2.7 Applications**

## 10.2.1 Introduction

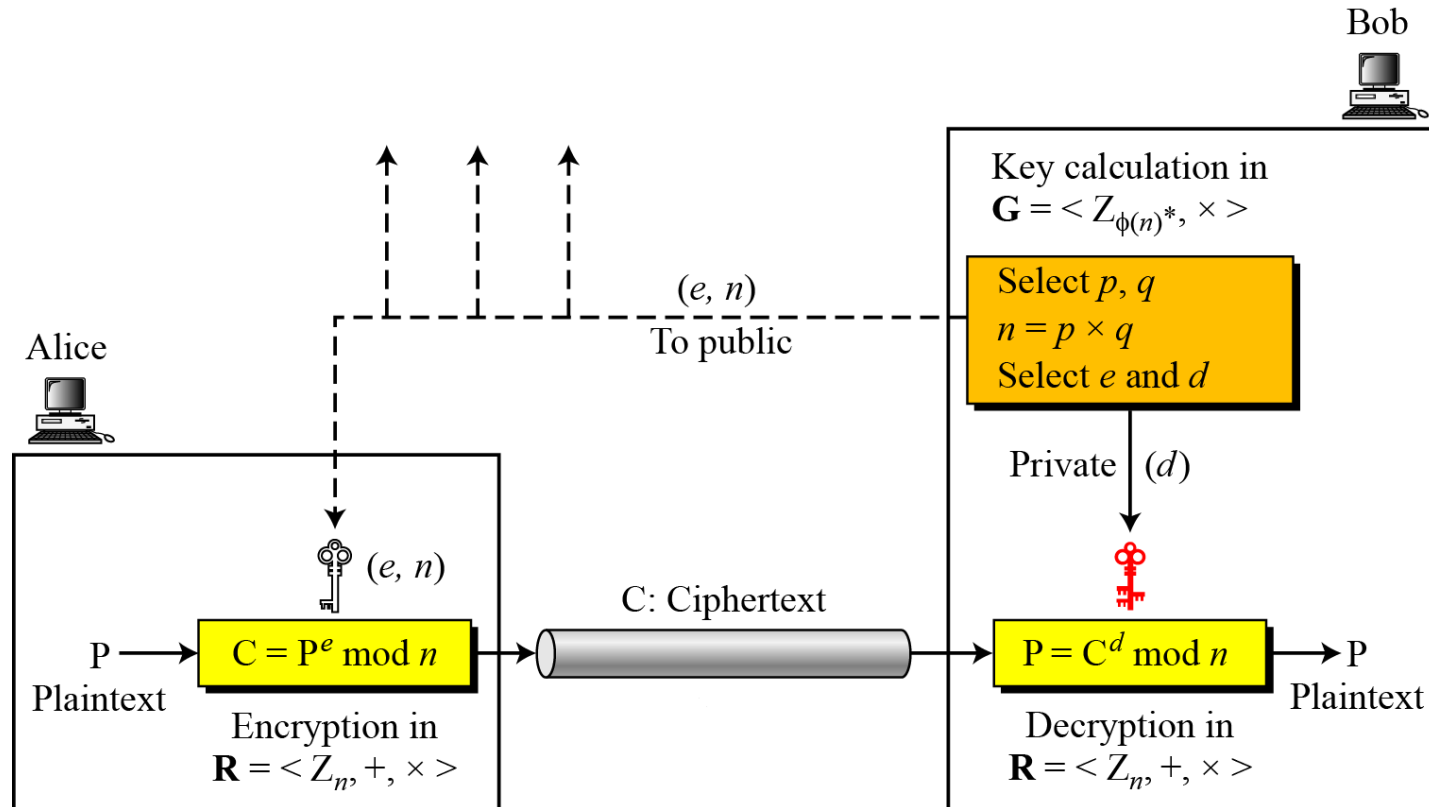
Figure 10.5 Complexity of operations in RSA



**RSA uses modular exponentiation for encryption/decryption;  
To attack it, Eve needs to calculate  $\sqrt[e]{C} \bmod n$ .**

## 10.2.2 Procedure

Figure 10.6 Encryption, decryption, and key generation in RSA





## 10.2.2 Continued

### *Two Algebraic Structures*

*Encryption/Decryption Ring:*

$$R = \langle \mathbb{Z}_n, +, \times \rangle$$

*Key-Generation Group:*

$$G = \langle \mathbb{Z}_{\phi(n)}^*, \times \rangle$$

**RSA uses two algebraic structures:**

**a public ring  $R = \langle \mathbb{Z}_n, +, \times \rangle$  and a private group  $G = \langle \mathbb{Z}_{\phi(n)}^*, \times \rangle$ .**

**In RSA, the tuple  $(e, n)$  is the public key; the integer  $d$  is the private key.**

## 10.2.2 Continued

### Algorithm 10.2 *RSA Key Generation*

#### **RSA\_Key\_Generation**

{

Select two large primes  $p$  and  $q$  such that  $p \neq q$ .

$n \leftarrow p \times q$

$\phi(n) \leftarrow (p - 1) \times (q - 1)$

Select  $e$  such that  $1 < e < \phi(n)$  and  $e$  is coprime to  $\phi(n)$

$d \leftarrow e^{-1} \bmod \phi(n)$

//  $d$  is inverse of  $e$  modulo  $\phi(n)$

Public\_key  $\leftarrow (e, n)$

// To be announced publicly

Private\_key  $\leftarrow d$

// To be kept secret

return Public\_key and Private\_key

}

## 10.2.2 Continued

### Encryption

#### Algorithm 10.3 *RSA encryption*

```
RSA_Encryption ( $P, e, n$ )           //  $P$  is the plaintext in  $Z_n$  and  $P < n$ 
{
   $C \leftarrow$  Fast_Exponentiation ( $P, e, n$ )   // Calculation of  $(P^e \bmod n)$ 
  return  $C$ 
}
```

**In RSA,  $p$  and  $q$  must be at least 512 bits;  $n$  must be at least 1024 bits.**



## 10.2.2 Continued

### *Decryption*

#### **Algorithm 10.4** *RSA decryption*

```
RSA_Decryption ( $C, d, n$ )           //  $C$  is the ciphertext in  $Z_n$ 
{
   $P \leftarrow$  Fast_Exponentiation ( $C, d, n$ )   // Calculation of  $(C^d \bmod n)$ 
  return  $P$ 
}
```

## 10.2.2 Continued

### *Proof of RSA*

If  $n = p \times q$ ,  $a < n$ , and  $k$  is an integer, then  $a^{k \times \phi(n) + 1} \equiv a \pmod{n}$ .

$$P_1 = C^d \pmod{n} = (P^e \pmod{n})^d \pmod{n} = P^{ed} \pmod{n}$$

$$ed = k\phi(n) + 1 \quad // \text{ } d \text{ and } e \text{ are inverses modulo } \phi(n)$$

$$P_1 = P^{ed} \pmod{n} \rightarrow P_1 = P^{k\phi(n) + 1} \pmod{n}$$

$$P_1 = P^{k\phi(n) + 1} \pmod{n} = P \pmod{n} \quad // \text{ Euler's theorem (second version)}$$

## 10.2.3 Some Trivial Examples

### Example 10.5

Bob chooses 7 and 11 as  $p$  and  $q$  and calculates  $n = 77$ . The value of  $\phi(n) = (7 - 1)(11 - 1)$  or 60. Now he chooses two exponents,  $e$  and  $d$ , from  $Z_{60}^*$ . If he chooses  $e$  to be 13, then  $d$  is 37. Note that  $e \times d \bmod 60 = 1$  (they are inverses of each other). Now imagine that Alice wants to send the plaintext 5 to Bob. She uses the public exponent 13 to encrypt 5.

Plaintext: 5	$C = 5^{13} = 26 \bmod 77$	Ciphertext: 26
--------------	----------------------------	----------------

Bob receives the ciphertext 26 and uses the private key 37 to decipher the ciphertext:

Ciphertext: 26	$P = 26^{37} = 5 \bmod 77$	Plaintext: 5
----------------	----------------------------	--------------

## 10.2.3 Some Trivial Examples

### Example 10.6

Now assume that another person, John, wants to send a message to Bob. John can use the same public key announced by Bob (probably on his website), 13; John's plaintext is 63. John calculates the following:

Plaintext: 63

$$C = 63^{13} = 28 \pmod{77}$$

Ciphertext: 28

Bob receives the ciphertext 28 and uses his private key 37 to decipher the ciphertext:

Ciphertext: 28

$$P = 28^{37} = 63 \pmod{77}$$

Plaintext: 63

## 10.2.3 *Some Trivial Examples*

### Example 10.7

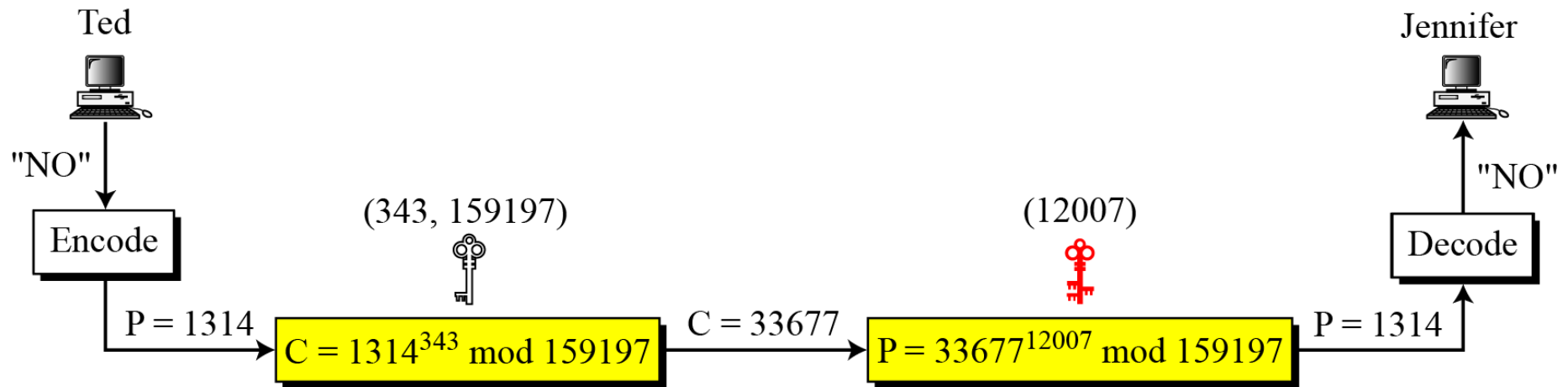
Jennifer creates a pair of keys for herself. She chooses  $p = 397$  and  $q = 401$ . She calculates  $n = 159197$ . She then calculates  $\phi(n) = 158400$ . She then chooses  $e = 343$  and  $d = 12007$ . Show how Ted can send a message to Jennifer if he knows  $e$  and  $n$ .

Suppose Ted wants to send the message “NO” to Jennifer. He changes each character to a number (from 00 to 25), with each character coded as two digits. He then concatenates the two coded characters and gets a four-digit number. The plaintext is 1314. Figure 10.7 shows the process.



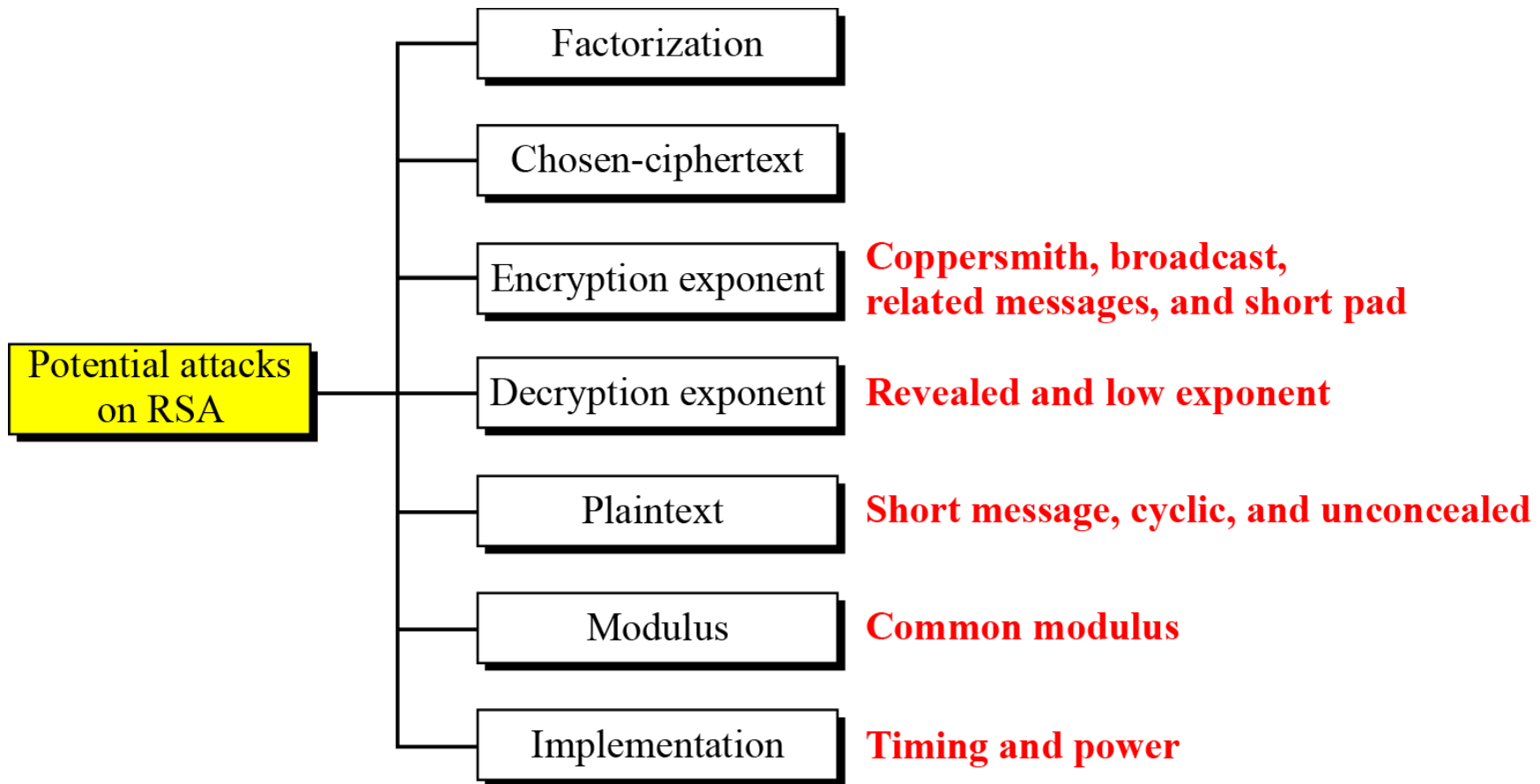
# 10.2.3 Continued

Figure 10.7 Encryption and decryption in Example 10.7



## 10.2.4 Attacks on RSA

**Figure 10.8** *Taxonomy of potential attacks on RSA*



# 10.2.6 OAEP

**Figure 10.9** *Optimal asymmetric encryption padding (OAEP)*

M: Padded message

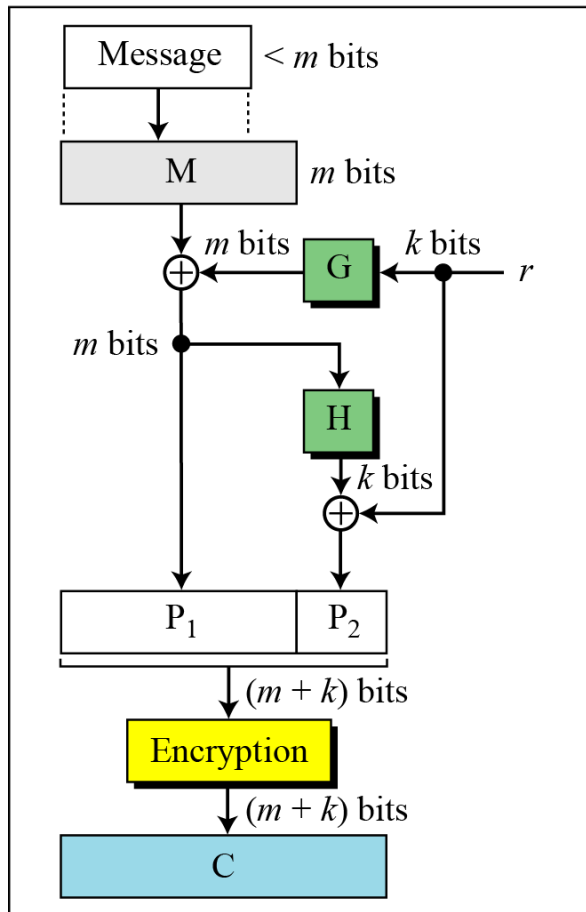
P: Plaintext ( $P_1 || P_2$ )

G: Public function ( $k$ -bit to  $m$ -bit)

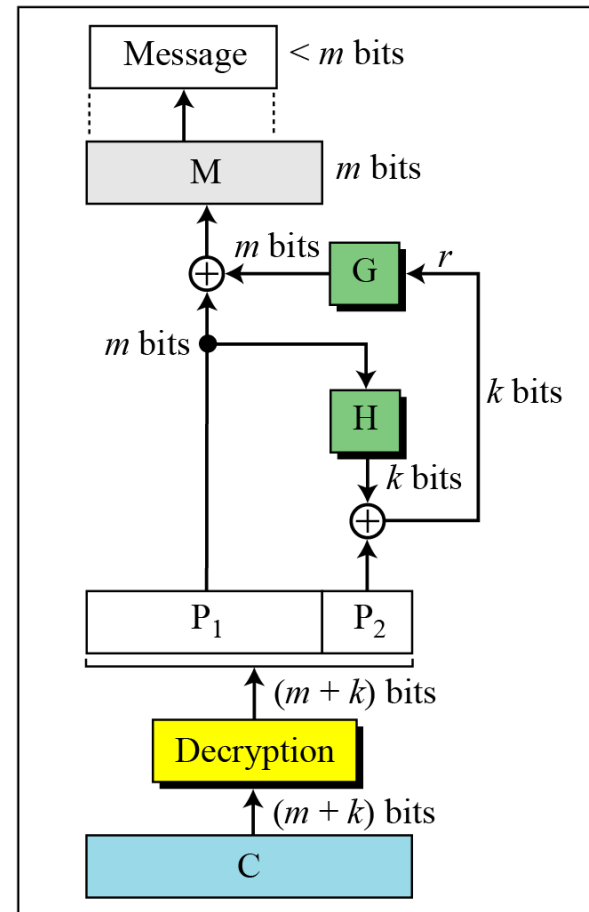
$r$ : One-time random number

C: Ciphertext

H: Public function ( $m$ -bit to  $k$ -bit)



Sender



Receiver

## 10.2.6 Continued

### Example 10.8

Here is a more realistic example. We choose a 512-bit  $p$  and  $q$ , calculate  $n$  and  $\phi(n)$ , then choose  $e$  and test for relative primeness with  $\phi(n)$ . We then calculate  $d$ . Finally, we show the results of encryption and decryption. The integer  $p$  is a 159-digit number.

$p =$	961303453135835045741915812806154279093098455949962158225831508796 479404550564706384912571601803475031209866660649242019180878066742 1096063354219926661209
-------	--

$q =$	120601919572314469182767942044508960015559250546370339360617983217 314821484837646592153894532091752252732268301071206956046025138871 45524969000359660045617
-------	---

## 10.2.6 Continued

### Example 10.8 Continued

**The modulus  $n = p \times q$ . It has 309 digits.**

$n =$  115935041739676149688925098646158875237714573754541447754855261376  
147885408326350817276878815968325168468849300625485764111250162414  
552339182927162507656772727460097082714127730434960500556347274566  
628060099924037102991424472292215772798531727033839381334692684137  
327622000966676671831831088373420823444370953

**$\phi(n) = (p - 1)(q - 1)$  has 309 digits.**

$\phi(n) =$  115935041739676149688925098646158875237714573754541447754855261376  
147885408326350817276878815968325168468849300625485764111250162414  
552339182927162507656751054233608492916752034482627988117554787657  
013923444405716989581728196098226361075467211864612171359107358640  
614008885170265377277264467341066243857664128

## 10.2.6 Continued

### Example 10.8 Continued

**Bob chooses  $e = 35535$  (the ideal is 65537) and tests it to make sure it is relatively prime with  $\phi(n)$ . He then finds the inverse of  $e$  modulo  $\phi(n)$  and calls it  $d$ .**

$e =$	35535
$d =$	580083028600377639360936612896779175946690620896509621804228661113 805938528223587317062869100300217108590443384021707298690876006115 306202524959884448047568240966247081485817130463240644077704833134 010850947385295645071936774061197326557424237217617674620776371642 0760033708533328853214470885955136670294831

## 10.2.6 Continued

### Example 10.8 Continued

Alice wants to send the message “THIS IS A TEST”, which can be changed to a numeric value using the 00–26 encoding scheme (26 is the space character).

P = 1907081826081826002619041819

The ciphertext calculated by Alice is  $C = P^e$ , which is

C = 475309123646226827206365550610545180942371796070491716523239243054  
452960613199328566617843418359114151197411252005682979794571736036  
101278218847892741566090480023507190715277185914975188465888632101  
148354103361657898467968386763733765777465625079280521148141844048  
14184430812773059004692874248559166462108656

## 10.2.6 Continued

### Example 10.8 Continued

**Bob can recover the plaintext from the ciphertext using  $P = C^d$ , which is**

P =	1907081826081826002619041819
-----	------------------------------

**The recovered plaintext is “THIS IS A TEST” after decoding.**



## 10-3 RABIN CRYPTOSYSTEM

*The Rabin cryptosystem can be thought of as an RSA cryptosystem in which the value of  $e$  and  $d$  are fixed. The encryption is  $C \equiv P^2 \pmod{n}$  and the decryption is  $P \equiv C^{1/2} \pmod{n}$ .*

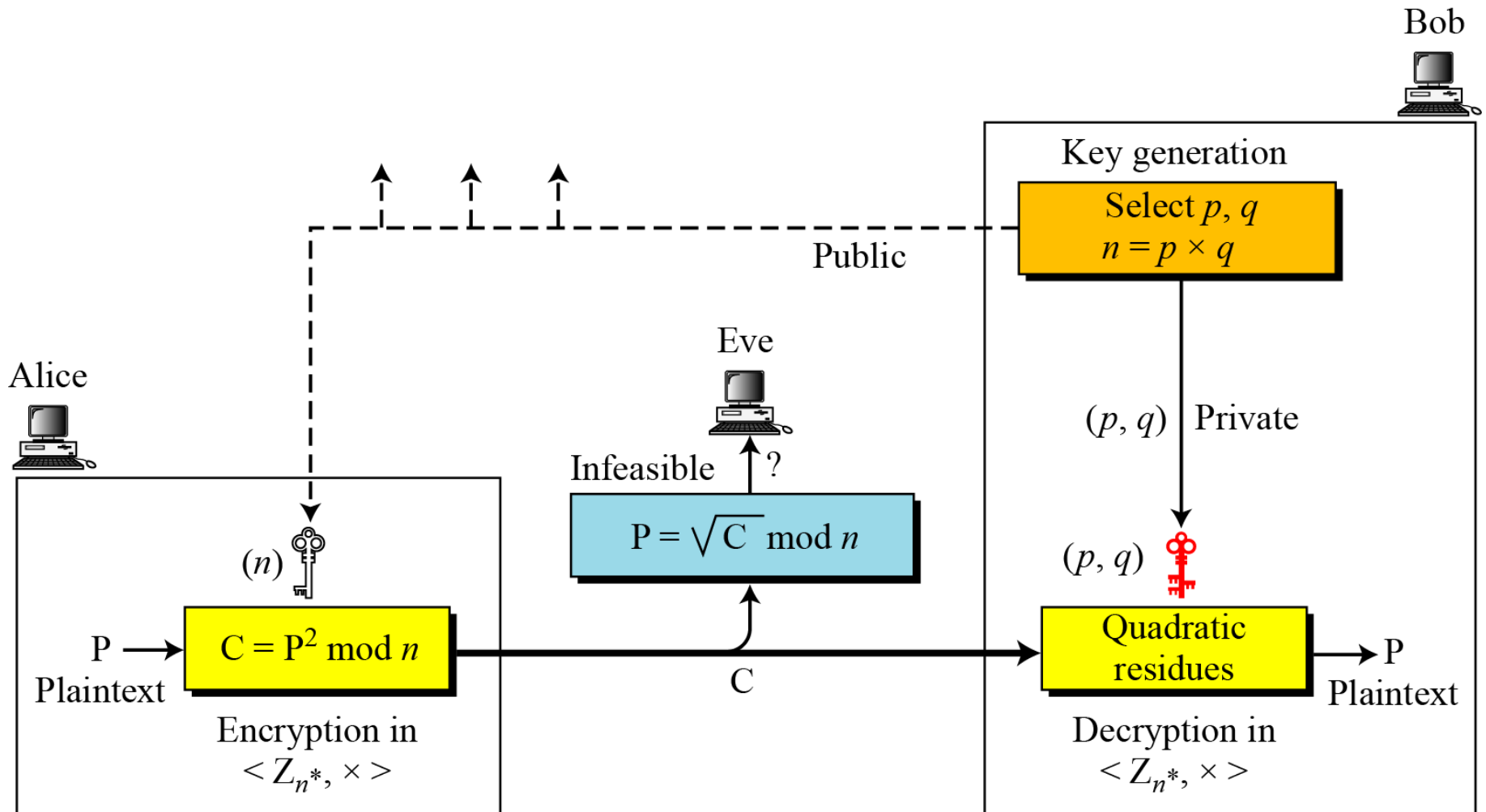
*Topics discussed in this section:*

**10.3.1 Procedure**

**10.3.2 Security of the Rabin System**

# 10-3 Continued

Figure 10.10 *Rabin cryptosystem*



## 10.3.1 Procedure

### Key Generation

**Algorithm 10.6** *Key generation for Rabin cryptosystem*

#### **Rabin\_Key\_Generation**

{

Choose two large primes  $p$  and  $q$  in the form  $4k + 3$  and  $p \neq q$ .

$n \leftarrow p \times q$

Public\_key  $\leftarrow n$  // To be announced publicly

Private\_key  $\leftarrow (q, n)$  // To be kept secret

return Public\_key and Private\_key

}

## 10.3.1 Continued

### Encryption

**Algorithm 10.7** *Encryption in Rabin cryptosystem*

```
Rabin_Encryption ( $n, P$ )           //  $n$  is the public key;  $P$  is the ciphertext from  $\mathbf{Z}_n^*$ 
{
     $C \leftarrow P^2 \bmod n$            //  $C$  is the ciphertext
    return  $C$ 
}
```

# 10.3.1 Continued

## Decryption

**Algorithm 10.8** *Decryption in Rabin cryptosystem*

```
Rabin_Decryption ( $p, q, C$ )           //  $C$  is the ciphertext;  $p$  and  $q$  are private keys
{
     $a_1 \leftarrow +(C^{(p+1)/4}) \bmod p$ 
     $a_2 \leftarrow -(C^{(p+1)/4}) \bmod p$ 
     $b_1 \leftarrow +(C^{(q+1)/4}) \bmod q$ 
     $b_2 \leftarrow -(C^{(q+1)/4}) \bmod q$ 
    // The algorithm for the Chinese remainder algorithm is called four times.
     $P_1 \leftarrow \text{Chinese\_Remainder}(a_1, b_1, p, q)$ 
     $P_2 \leftarrow \text{Chinese\_Remainder}(a_1, b_2, p, q)$ 
     $P_3 \leftarrow \text{Chinese\_Remainder}(a_2, b_1, p, q)$ 
     $P_4 \leftarrow \text{Chinese\_Remainder}(a_2, b_2, p, q)$ 
    return  $P_1, P_2, P_3,$  and  $P_4$ 
}
```

**Note**

**The Rabin cryptosystem is not deterministic:  
Decryption creates four plaintexts.**

## 10.3.1 Continued

### Example 10.9

Here is a very trivial example to show the idea.

1. Bob selects  $p = 23$  and  $q = 7$ . Note that both are congruent to 3 mod 4.
2. Bob calculates  $n = p \times q = 161$ .
3. Bob announces  $n$  publicly; he keeps  $p$  and  $q$  private.
4. Alice wants to send the plaintext  $P = 24$ . Note that 161 and 24 are relatively prime; 24 is in  $\mathbf{Z}_{161}^*$ . She calculates  $C = 24^2 = 93 \pmod{161}$ , and sends the ciphertext 93 to Bob.

## 10.3.1 Continued

### Example 10.9

5. Bob receives 93 and calculates four values:

$$a_1 = +(93^{(23+1)/4}) \bmod 23 = 1 \bmod 23$$

$$a_2 = -(93^{(23+1)/4}) \bmod 23 = 22 \bmod 23$$

$$b_1 = +(93^{(7+1)/4}) \bmod 7 = 4 \bmod 7$$

$$b_2 = -(93^{(7+1)/4}) \bmod 7 = 3 \bmod 7$$

6. Bob takes four possible answers,  $(a_1, b_1)$ ,  $(a_1, b_2)$ ,  $(a_2, b_1)$ , and  $(a_2, b_2)$ , and uses the Chinese remainder theorem to find four possible plaintexts: 116, 24, 137, and 45. Note that only the second answer is Alice's plaintext.

## 10-4 ELGAMAL CRYPTOSYSTEM

*Besides RSA and Rabin, another public-key cryptosystem is ElGamal. ElGamal is based on the discrete logarithm problem discussed in Chapter 9.*

### *Topics discussed in this section:*

**10.4.1 ElGamal Cryptosystem**

**10.4.2 Procedure**

**10.4.3 Proof**

**10.4.4 Analysis**

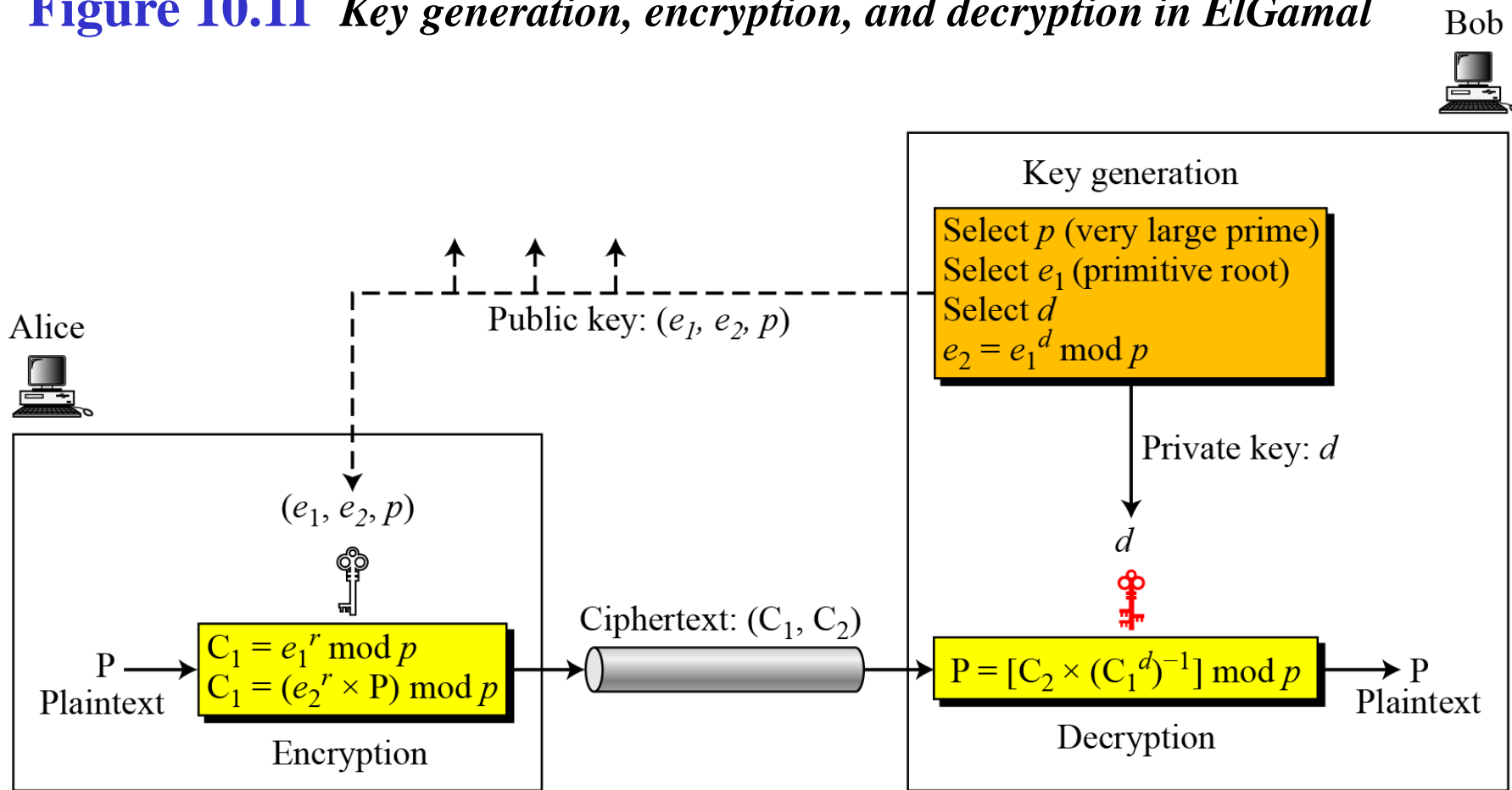
**10.4.5 Security of ElGamal**

**10.4.6 Application**



# 10.4.2 Procedure

Figure 10.11 Key generation, encryption, and decryption in ElGamal



## 10.4.2 Continued

### Key Generation

**Algorithm 10.9** *ElGamal key generation*

#### ElGamal\_Key\_Generation

{

Select a large prime  $p$

Select  $d$  to be a member of the group  $\mathbf{G} = \langle \mathbf{Z}_p^*, \times \rangle$  such that  $1 \leq d \leq p - 2$

Select  $e_1$  to be a primitive root in the group  $\mathbf{G} = \langle \mathbf{Z}_p^*, \times \rangle$

$e_2 \leftarrow e_1^d \bmod p$

Public\_key  $\leftarrow (e_1, e_2, p)$  // To be announced publicly

Private\_key  $\leftarrow d$  // To be kept secret

return Public\_key and Private\_key

}

## 10.4.2 Continued

### Algorithm 10.10 *ElGamal encryption*

```
ElGamal_Encryption ( $e_1, e_2, p, P$ )           // P is the plaintext
{
  Select a random integer  $r$  in the group  $\mathbf{G} = \langle \mathbf{Z}_p^*, \times \rangle$ 
   $C_1 \leftarrow e_1^r \bmod p$ 
   $C_2 \leftarrow (P \times e_2^r) \bmod p$            //  $C_1$  and  $C_2$  are the ciphertexts
  return  $C_1$  and  $C_2$ 
}
```

## 10.4.2 Continued

### Algorithm 10.11 *ElGamal decryption*

```
ElGamal_Decryption ( $d, p, C_1, C_2$ )           //  $C_1$  and  $C_2$  are the ciphertexts
{
  P  $\leftarrow [C_2 (C_1^d)^{-1}] \bmod p$        // P is the plaintext
  return P
}
```

**Note**

**The bit-operation complexity of encryption or decryption in ElGamal cryptosystem is polynomial.**

## 10.4.3 Continued

### Example 10. 10

*Here is a trivial example. Bob chooses  $p = 11$  and  $e_1 = 2$ . and  $d = 3$   $e_2 = e_1^d = 8$ . So the public keys are  $(2, 8, 11)$  and the private key is 3. Alice chooses  $r = 4$  and calculates  $C_1$  and  $C_2$  for the plaintext 7.*

**Plaintext: 7**

$$C_1 = e_1^r \text{ mod } 11 = 16 \text{ mod } 11 = 5 \text{ mod } 11$$

$$C_2 = (P \times e_2^r) \text{ mod } 11 = (7 \times 4096) \text{ mod } 11 = 6 \text{ mod } 11$$

**Ciphertext: (5, 6)**

*Bob receives the ciphertexts (5 and 6) and calculates the plaintext.*

$$[C_2 \times (C_1^d)^{-1}] \text{ mod } 11 = 6 \times (5^3)^{-1} \text{ mod } 11 = 6 \times 3 \text{ mod } 11 = 7 \text{ mod } 11$$

**Plaintext: 7**

## 10.4.3 Continued

### Example 10. 11

Instead of using  $P = [C_2 \times (C_1^d)^{-1}] \bmod p$  for decryption, we can avoid the calculation of multiplicative inverse and use  $P = [C_2 \times C_1^{p-1-d}] \bmod p$  (see Fermat's little theorem in Chapter 9). In Example 10.10, we can calculate  $P = [6 \times 5^{11-1-3}] \bmod 11 = 7 \bmod 11$ .

#### Note

For the ElGamal cryptosystem,  $p$  must be at least 300 digits and  $r$  must be new for each encipherment.

## 10.4.3 Continued

### Example 10.12

*Bob uses a random integer of 512 bits. The integer  $p$  is a 155-digit number (the ideal is 300 digits). Bob then chooses  $e_1$ ,  $d$ , and calculates  $e_2$ , as shown below:*

$p =$	115348992725616762449253137170143317404900945326098349598143469219 056898698622645932129754737871895144368891765264730936159299937280 61165964347353440008577
$e_1 =$	2
$d =$	1007
$e_2 =$	978864130430091895087668569380977390438800628873376876100220622332 554507074156189212318317704610141673360150884132940857248537703158 2066010072558707455

## 10.4.3 Continued

### Example 10. 10

*Alice has the plaintext  $P = 3200$  to send to Bob. She chooses  $r = 545131$ , calculates  $C_1$  and  $C_2$ , and sends them to Bob.*

$P =$	3200
$r =$	545131
$C_1 =$	887297069383528471022570471492275663120260067256562125018188351429 417223599712681114105363661705173051581533189165400973736355080295 736788569060619152881
$C_2 =$	708454333048929944577016012380794999567436021836192446961774506921 244696155165800779455593080345889614402408599525919579209721628879 6813505827795664302950

*Bob calculates the plaintext  $P = C_2 \times ((C_1)^d)^{-1} \bmod p = 3200 \bmod p$ .*

$P =$	3200
-------	------



# 10-5 ELLIPTIC CURVE CRYPTOSYSTEMS

*Although RSA and ElGamal are secure asymmetric-key cryptosystems, their security comes with a price, their large keys. Researchers have looked for alternatives that give the same level of security with smaller key sizes. One of these promising alternatives is the elliptic curve cryptosystem (ECC).*

## Topics discussed in this section:

**10.5.1 Elliptic Curves over Real Numbers**

**10.5.2 Elliptic Curves over  $GF(p)$**

**10.5.3 Elliptic Curves over  $GF(2^n)$**

**10.5.4 Elliptic Curve Cryptography Simulating ElGamal**

## 10.5.1 Elliptic Curves over Real Numbers

*The general equation for an elliptic curve is*

$$y^2 + b_1xy + b_2y = x^3 + a_1x^2 + a_2x + a_3$$

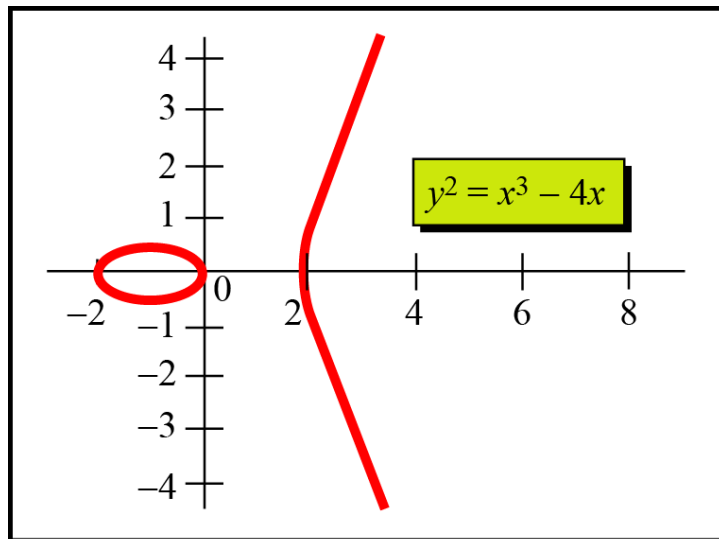
*Elliptic curves over real numbers use a special class of elliptic curves of the form*

$$y^2 = x^3 + ax + b$$

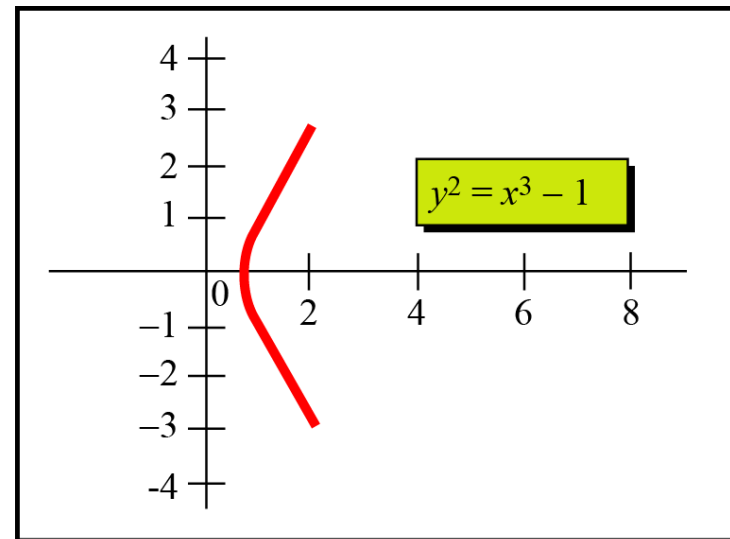
## Example 10.13

*Figure 10.12 shows two elliptic curves with equations  $y^2 = x^3 - 4x$  and  $y^2 = x^3 - 1$ . Both are nonsingular. However, the first has three real roots ( $x = -2$ ,  $x = 0$ , and  $x = 2$ ), but the second has only one real root ( $x = 1$ ) and two imaginary ones.*

**Figure 10.12** *Two elliptic curves over a real field*



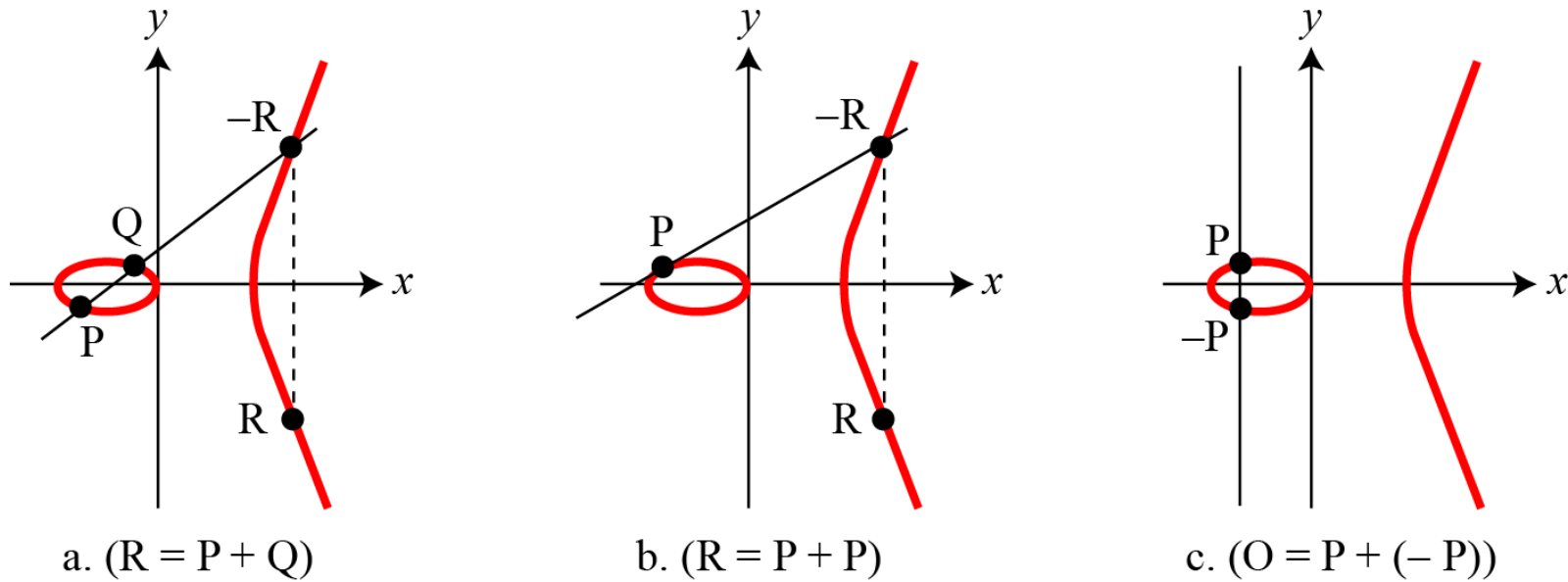
a. Three real roots



b. One real and two imaginary roots

# 10.5.1 Continued

**Figure 10.13** *Three adding cases in an elliptic curve*



## 10.5.1 Continued

1.

$$\lambda = (y_2 - y_1) / (x_2 - x_1)$$
$$x_3 = \lambda^2 - x_1 - x_2 \qquad y_3 = \lambda (x_1 - x_3) - y_1$$

2.

$$\lambda = (3x_1^2 + a)/(2y_1)$$
$$x_3 = \lambda^2 - x_1 - x_2 \qquad y_3 = \lambda (x_1 - x_3) - y_1$$

**3.** *The intercepting point is at infinity; a point  $O$  as the point at infinity or zero point, which is the additive identity of the group.*

## 10.5.2 Elliptic Curves over $GF(p)$

### *Finding an Inverse*

*The inverse of a point  $(x, y)$  is  $(x, -y)$ , where  $-y$  is the additive inverse of  $y$ . For example, if  $p = 13$ , the inverse of  $(4, 2)$  is  $(4, 11)$ .*

### *Finding Points on the Curve*

*Algorithm 10.12 shows the pseudocode for finding the points on the curve  $E_p(a, b)$ .*

## 10.5.2 Continued

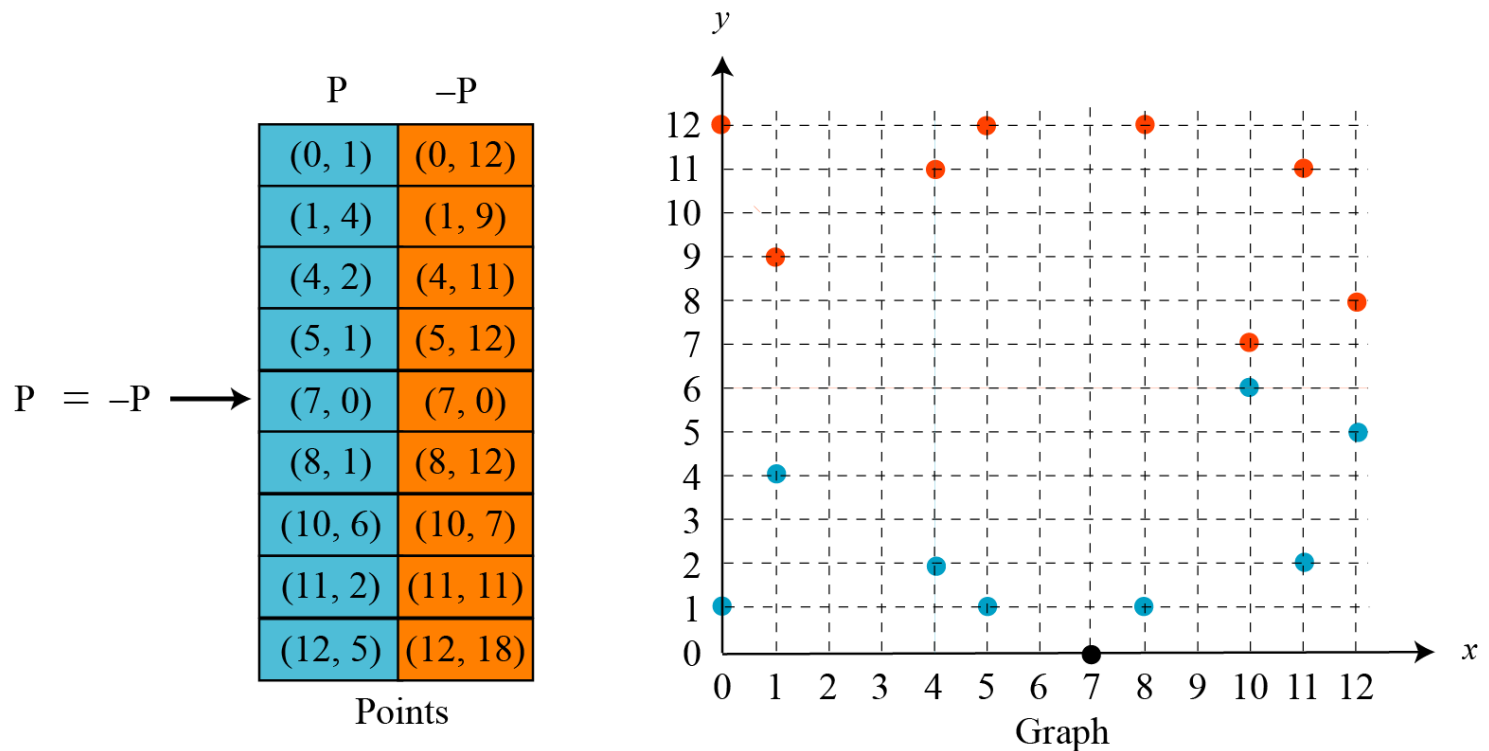
**Algorithm 10.12** *Pseudocode for finding points on an elliptic curve*

```
ellipticCurve_points ( $p, a, b$ ) //  $p$  is the modulus
{
   $x \leftarrow 0$ 
  while ( $x < p$ )
  {
     $w \leftarrow (x^3 + ax + b) \bmod p$  //  $w$  is  $y^2$ 
    if ( $w$  is a perfect square in  $\mathbf{Z}_p$ ) output  $(x, \sqrt{w}) (x, -\sqrt{w})$ 
     $x \leftarrow x + 1$ 
  }
}
```

## Example 10.14

The equation is  $y^2 = x^3 + x + 1$  and the calculation is done modulo 13.

**Figure 10.14** Points on an elliptic curve over  $GF(p)$





## 10.5.2 Continued

### Example 10.15

*Let us add two points in Example 10.14,  $R = P + Q$ , where  $P = (4, 2)$  and  $Q = (10, 6)$ .*

- a.  $\lambda = (6 - 2) \times (10 - 4)^{-1} \bmod 13 = 4 \times 6^{-1} \bmod 13 = 5 \bmod 13$ .*
- b.  $x = (5^2 - 4 - 10) \bmod 13 = 11 \bmod 13$ .*
- c.  $y = [5(4 - 11) - 2] \bmod 13 = 2 \bmod 13$ .*
- d.  $R = (11, 2)$ , which is a point on the curve in Example 10.14.*

## 10.5.3 Elliptic Curves over $GF(2^n)$

*To define an elliptic curve over  $GF(2^n)$ , one needs to change the cubic equation. The common equation is*

$$y^2 + xy = x^3 + ax^2 + b$$

### *Finding Inverses*

*If  $P = (x, y)$ , then  $-P = (x, x + y)$ .*

### *Finding Points on the Curve*

*We can write an algorithm to find the points on the curve using generators for polynomials discussed in Chapter 7..*



## 10.5.3 Continued

---

### *Finding Inverses*

*If  $P = (x, y)$ , then  $-P = (x, x + y)$ .*

### *Finding Points on the Curve*

*We can write an algorithm to find the points on the curve using generators for polynomials discussed in Chapter 7. This algorithm is left as an exercise. Following is a very trivial example.*

## 10.5.3 Continued

### Example 10.16

We choose  $GF(2^3)$  with elements  $\{0, 1, g, g^2, g^3, g^4, g^5, g^6\}$  using the irreducible polynomial of  $f(x) = x^3 + x + 1$ , which means that  $g^3 + g + 1 = 0$  or  $g^3 = g + 1$ . Other powers of  $g$  can be calculated accordingly. The following shows the values of the  $g$ 's.

0	000	$g^3 = g + 1$	011
1	001	$g^4 = g^2 + g$	110
$g$	010	$g^5 = g^2 + g + 1$	111
$g^2$	100	$g^6 = g^2 + 1$	101

## 10.5.3 Continued

### Example 10.16 Continued

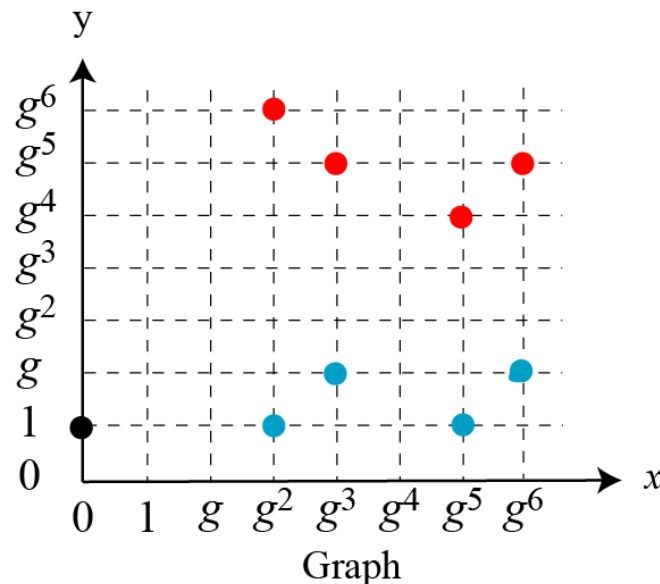
Using the elliptic curve  $y^2 + xy = x^3 + g^3x^2 + 1$ , with  $a = g^3$  and  $b = 1$ , we can find the points on this curve, as shown in Figure 10.15..

**Figure 10.15** Points on an elliptic curve over  $GF(2n)$

$P = -P \rightarrow$

P	$-P$
$(0, 1)$	$(0, 1)$
$(g^2, 1)$	$(g^2, g^6)$
$(g^3, g^2)$	$(g^3, g^5)$
$(g^5, 1)$	$(g^5, g^4)$
$(g^6, g)$	$(g^6, g^5)$

Points



## 10.5.3 Continued

### *Adding Two Points*

1. If  $P = (x_1, y_1)$ ,  $Q = (x_2, y_2)$ ,  $Q \neq -P$ , and  $Q \neq P$ , then  $R = (x_3, y_3) = P + Q$  can be found as

$$\lambda = (y_2 + y_1) / (x_2 + x_1)$$

$$x_3 = \lambda^2 + \lambda + x_1 + x_2 + a \qquad y_3 = \lambda (x_1 + x_2) + x_3 + y_1$$

If  $Q = P$ , then  $R = P + P$  (or  $R = 2P$ ) can be found as

$$\lambda = x_1 + y_1 / x_1$$

$$x_3 = \lambda^2 + \lambda + a \qquad y_3 = x_1^2 + (\lambda + 1) x_3$$

## 10.5.3 Continued

### Example 10.17

*Let us find  $R = P + Q$ , where  $P = (0, 1)$  and  $Q = (g^2, 1)$ . We have  $\lambda = 0$  and  $R = (g^5, g^4)$ .*

### Example 10.18

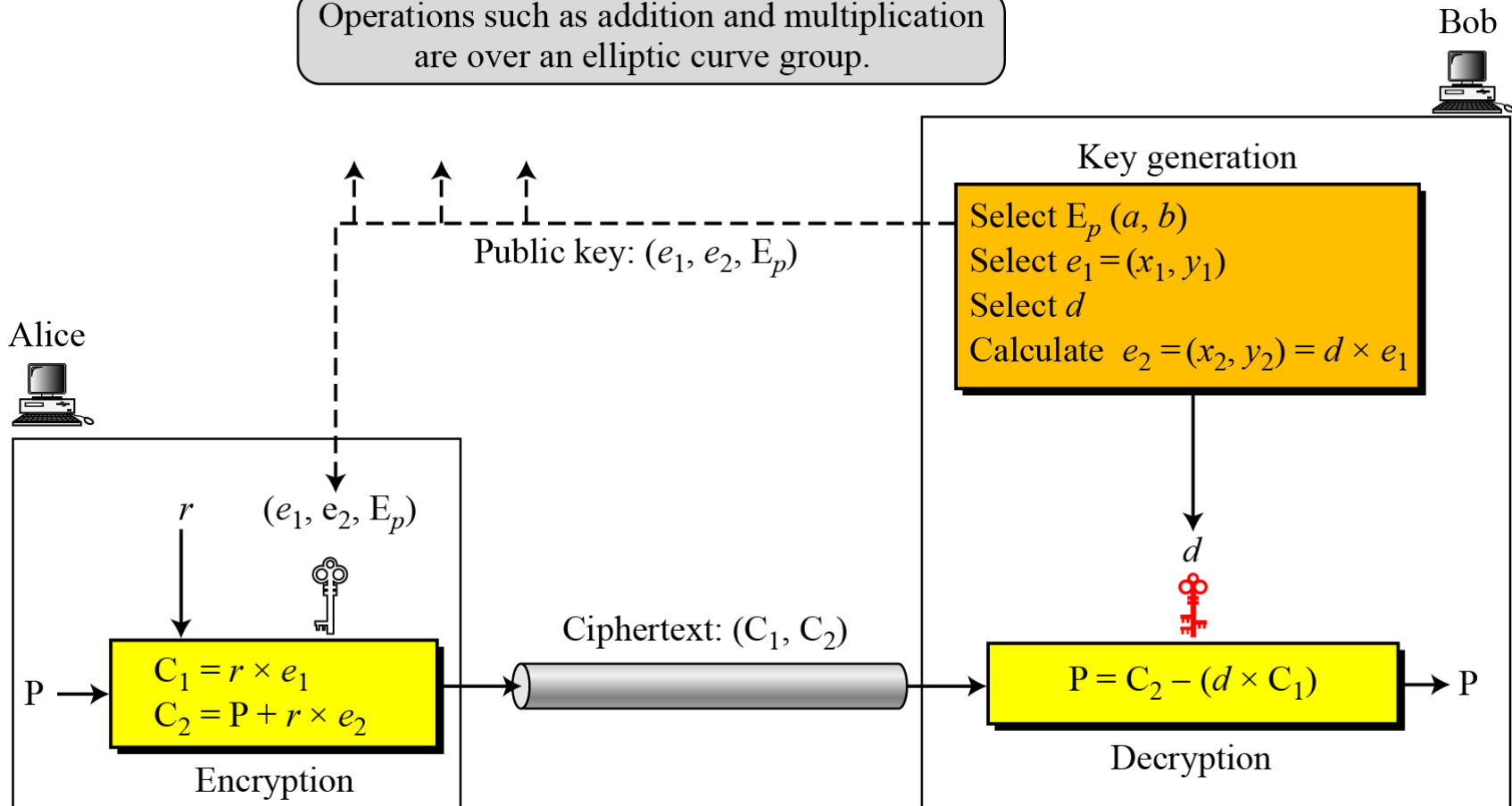
*Let us find  $R = 2P$ , where  $P = (g^2, 1)$ . We have  $\lambda = g^2 + 1/g^2 = g^2 + g^5 = g + 1$  and  $R = (g^6, g^5)$ .*

# 10.5.4 ECC Simulating ElGamal

Figure 10.16 ElGamal cryptosystem using the elliptic curve

Note:

Operations such as addition and multiplication are over an elliptic curve group.





## 10.5.4 Continued

### Generating Public and Private Keys

$$E(a, b) \quad e_1(x_1, y_1) \quad d \quad e_2(x_2, y_2) = d \times e_1(x_1, y_1)$$

### Encryption

$$C_1 = r \times e_1$$

$$C_2 = P + r \times e_2$$

### Decryption

$$P = C_2 - (d \times C_1)$$

The minus sign here means adding with the inverse.

### Note

The security of ECC depends on the difficulty of solving the elliptic curve logarithm problem.

## 10.5.4 Continued

### Example 10.19

*Here is a very trivial example of encipherment using an elliptic curve over  $GF(p)$ .*

- 1. Bob selects  $E_{67}(2, 3)$  as the elliptic curve over  $GF(p)$ .*
- 2. Bob selects  $e_1 = (2, 22)$  and  $d = 4$ .*
- 3. Bob calculates  $e_2 = (13, 45)$ , where  $e_2 = d \times e_1$ .*
- 4. Bob publicly announces the tuple  $(E, e_1, e_2)$ .*
- 5. Alice wants to send the plaintext  $P = (24, 26)$  to Bob. She selects  $r = 2$ .*