# CS 454 : Theory of Computation

Instructor: Thoshitha Gamage, Ph.D. Southern Illinois University at Edwardsville

Fall 2019 Syllabus

Course Information:	
Title:	CS 454 : Theory of Computation (3 Credits)
Location:	EB 2160
Time:	M & W 10:00 – 11:15 a.m.
Course Web site:	http://www.cs.siue.edu/~tgamage/F19/CS454

**Contact Information:** 

Office 😭:	EB 3053	
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Web Site 🕥:	http://www.cs.siue.edu/~tgamage	
Office Hours 🕘:	lours 🕘: M & W 11:20 – 12:15 p.m.	
	T 10:50 – 12:00 p.m. <i>or by appointment</i>	

## **1** Course Objectives

This is an upper level undergraduate class in Theory of Computation. The course objectives are:

- 1. to understand the fundamentals of computational complexity, limitations of efficient computations, and how to reason about them;
- 2. to develop an understanding of what can be computed efficiently;
- 3. to introduce formal models of computation and build on the knowledge of CS 330;
- 4. to develop the skills to formally reason about computational complexity through abstract machines; and
- 5. to develop essential problem solving and critical thinking skills requisite of a computer scientist.

# **2** Course Prerequisites

MATH224 (Discrete Mathematics) and CS330 (Programming Languages). If you don't meet these prerequisites, you need to contact me immediately **within the first week** and get my approval. I reserve the right to drop you from the course if it becomes obvious that you do not meet the course prerequisites.

# 3 Textbook & Resources

No particular textbook is designated as required for this course. That said, it is **strongly recommended** to get hold of **one** of the following textbooks to supplement your learning and for your reading reference. Given that this is a theoretical class by nature, any one of these very good textbooks will serve the purpose of the course. **[MS3e]** below should be available through the bookstore.

• [PL6e] An Introduction to Formal Languages and Automata, 6<sup>th</sup> ed., Peter Linz, Jones & Bartlett Learning, ISBN: 978-1284077247

- [MS3e] Introduction to the Theory of Computation, 3<sup>rd</sup> ed., Michael Sipser, Course Technology, ISBN: 978-1133187790
- [HMU3e] Introduction to Automata Theory, Languages, and Computation, 3<sup>rd</sup> ed., Hopcroft et al., Addison Wesley, ISBN: 978-0321455369

[Required Video Lecturing] In preparation for each lecture, you are required to watch Professor Ullman's (one of the HMU3e authors) video lectures found under the Stanford Automata 🛗 channel https://cutt. ly/Bws8tTT. This is a mandatory requirement to participate and to earn credit for the in-class problem solving sessions.



(a) [PL6e]

(b) [MS3e]

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### Assigned Work and Tentative Grading Policy 4

The following grade allocation breakdown is *tentative*, and may change during the semester. Unless the circumstances change, I am **NOT** planning on curving the final grade.

Grading Allocation		BS	MS
Exams		60%	55%
Midterm 01	15%		55
Midterm 02	15%		
Final (comprehensive!!)	30% / 25%(MS)		
Attendance & Scribing		5%	5%
Problem Solving		35%	25%
Graduate Standing Project	t	-	15%

### Exams 4.1

All exams and quizzes will be held in the lecture room.				
Midterm 01:	Monday September 23 <sup>rd</sup> 10:00 – 11:15 a.m.			
Midterm 02:	Wednesday October 23 <sup>rd</sup> 10:00 – 11:15 a.m.			
Final:	Tuesday December 10 <sup>th</sup> 10:00 – 11:40 a.m.			

#### 4.2 **Class Participation**

You are expected to proactively participate in in-class discussions. This aids your learning and that of your classmates, and provides valuable feedback on the lecture. Constructive and proactive participation in in-class discussions and scribing accounts for 5% of your final grade. I, therefore, expect you to attend each and every class.

In preparation for each lecture, you are expected to read the relevant sections from PL6e (see Tentative Schedule below). I will try my best to direct you to other relevant resources where applicable, but I fully expect you to take the responsibility of your own learning and come fully prepared to the class.

Each student is required to submit their scribe notes a **minimum of twice** for the semester, preferably once before the mid-term and once after. Scribe notes are due through *Moodle* within **48 hours** after the lecture. Only the top two scribe submissions (based on Moodle timestamp) will be counted as valid submissions. Scribe notes serve as a baseline set of complementary notes to you and to your colleagues, hence please pay your due diligence to make them legible.

## 4.3 Problem Solving

There will be roughly ~6-7 in-class problem solving sessions. In preparation, students are **required** to watch the relevant video lectures from Professor Jeff Ullman at **Stanford Automata** the channel https://cutt.ly/Bws8tTT. I have noted down an outline of the relevant videos and sections from the recommended textbooks. I am expecting you to follow the videos (and the readings) closely.

## 4.4 Graduate Standing Project

Graduate students are required to conduct a mini-research project related to theoretical computer science (especially complexity theory) that is worth 15% of the final grade. Ideally, your topic should be relevant to your current research interest/MS thesis/MS project but approaching it from a theoretical perspective. All submissions are due at the beginning of class through Moodle.

- Wednesday September 04<sup>th</sup>, 2019 A one page research proposal and a justification of your proposed research.
- Wednesday October 16<sup>th</sup> 2019 ~2-3 page research progress summary.
- Wednesday November 13<sup>th</sup> 2019 Project Presentation slides.
- Wednesday December 04<sup>th</sup> 2019 Final report.

Places to look for a research topic includes (but not limited to) IEEE Symposium on Foundations of Computer Science (FOCS), ACM Annual Symposium on the Theory of Computing (STOC), ACM-SIAM Symposium on Discrete Algorithms (SODA), IEEE Conference on Computational Complexity (CCC). Your research should be relevant to this course and Cybersecurity, with implementations and empirical evaluations **highly favorable** over other types of projects.

A typical graduate level research of this scope would include a bare-minimum 20-25 <u>highly cited</u> research papers, ideally culminating a fairly comprehensive literature survey and a taxonomy. Here's a sample for your review: https://dl.acm.org/citation.cfm?id=3047307.

You are to present your research to the class at the conclusion of your research during weeks 15 and 16. In addition, you are required to produce an IEEE conference style minimum 8-page paper of your research. A template can be found at <a href="http://www.ieee.org/conferences\_events/conferences/publishing/templates.html">http://www.ieee.org/conferences\_events/conferences/publishing/templates.html</a>. You are highly encouraged to produce your report using Latex.

I reserve the right to decide which projects meet graduate standing and lower the grade for those who don't; hence, make sure to clearly exchange your research ideas with me, find out about my expectations, and set yourself up for success **early** in the semester.

In addition, graduate students may have additional mandatory questions in exams. Accordingly, graduate students will be graded on separate scale. Please refer Section 4 for the scale.

# 5 Course Requirements and Policies

## 5.1 Attendance Policy

I allow you to miss at most <u>2 classes</u> for the semester without any penalties. Medical emergencies are outside this "absentee allowance", but should be accompanied by proper documented proof of medical services. For planned absences, assignments should be turned in before the absence rather than after. I reserve the right to lower the grade of any student who is markedly deficient in attendance and/or in in-class participation. If you miss a class, it is *your* responsibility to find out what happened and to collect any material that was handed out in the class.

## 5.2 Late Policy

Unless otherwise noted or <u>announced in-class</u>, all deadlines are hard deadlines and assignments are due at the beginning of class on the due date. Assignments may be turned within 48 hours *grace period* after the deadline (except any final projects) with a 20% late penalty. No assignment is accepted beyond this grace period. Graduate project milestones do not have any grace periods.

## 5.3 Responsible Learning Policy

I expect *you* to *own* your degree of success in this class *and*, I expect you to contribute to the success of others. Examples:

- Read outside the class on your own in preparation for each lecture, jot down any questions your encounter on your reading (strongly encouraged), and bring those to the class as discussion points;
- Be respectful of the learning environment. Refrain from activities that may disturb the flow of the lecture or the environment;
  - Refrain from engaging in disruptive *"little talk"* while I am conducting the lecture; if you have a concern, raise your hand and grab my attention. be respective of your colleagues time and desire to learn.
  - Put your cell phones to vibrate mode and refrain from using your computers for casual web browsing. Take full advantage of the opportunity to learn.
- Cooperate with other students and to share your knowledge during in-class discussions. Respect the differences in learning and understanding of each other. Seek ways of taking advantage of those differences;
- If another student is confused, help him or her out without disturbing the class;
- I enjoy engaging in technical conversations with students with the goal of helping them create an accurate understanding of course material. Participating in such conversations is very favorable for your class participation grade;
- If I am systematically doing something that inhibits your learning, tell me;
- Engage in *proactive learning*: speak up when you don't understand, question assumptions, relate course material to your experience outside class, seek out additional experience and reading related to the class. You must *construct* your understanding of the material;
- If a lecture point is unclear, ask questions and ask me to repeat what I said, preferably in class, during office hours, or by e-mail. You are probably not alone in your confusion;
- Promptly review feedback you receive from me or other students to actively clarify the feedback if the material is still unclear and to incorporate the feedback in your future work;
- Spend adequate time on the course. Adequate time includes getting enough rest so that time you spend on course tasks is well-spent time. Adequate time includes proofreading and reviewing your assignments before you hand them in;
- Have high expectations of yourself: set goals for yourself and try to do your very best. Consciously think about the balance between what you do to earn a grade and what you do to learn (If I'm doing something that puts these in opposition to each other, please let me know.); and,
- Check your SIUE assigned student email and the course website regularly for important class announcements.

**IMPORTANT**: I strongly discourage you from getting into discussions with me about grades and how you can get a better one. This includes emailing me about possible ways to "bump" your grade. Such requests only mean one thing; that you have already fallen behind on your own expectations.

Do your own work. Your exams, homeworks, and programming projects are subject to the academic honor code. **DO NOT CHEAT IN ANY WAY: DO YOUR OWN WORK!** Following activities will be considered academic dishonesty:

- Submitting work (such as assigned work, projects, and code) done by somebody else (this includes any human/electronic sources (such as web sites));
- Watching and copying your neighbors' solutions during quizzes and/or exams;
- Using materials not allowed during quizzes and exams;
- Using materials not allowed for the programming projects.

It is quite acceptable to ask others things like "Have you come across this particular issue/error/exception before?," and even having them briefly look briefly at your stack trace and/or its code. To have them spend hours helping develop or seriously rearrange your program's logic, on the other hand, is not acceptable. And, of course, it is unacceptable for two or more people to collaboratively develop the solutions to assignments. If you are tempted to collaborate on such assignments, **DON'T!!**. If you are unsure about what constitues plagirism, check this website: https://www.siue.edu/education/psychology/plagiarism.shtml

I expect you to know and observe the SIUE Student Conduct Code (3C1) and Student Academic Code (3C2). Copying of other students' work, working together on individual assignments, plagiarism of published sources and other forms of academic dishonesty will result in zero credit on the assignment for all students involved and a lower grade in the class. A second offense (across the University) will result in an automatic **F** in the course and exposes the violator to University sanctions up to and including expulsion. All offenses will be reported to Student Affairs.

## 5.3.1 Online Repositories

If you indent to keep any project source code in online repositories, ensure those repositories are **private** and **only accessible to you**. By making source code publicly available to others, you might be involuntarily participating in plagiarism.

### 5.3.2 Advice

- a Don't wait until the last minute to do homework or projects. Labs get busy, computers break down, and people get sick. These are not sufficient excuses for an extension.
- b Save early; save often!
- c Contact me if you are confused. Don't wait for office hours; send an email.

## 5.4 Accessible Campus Community & Equitable Student Support: http://www.siue.edu/access

Students needing accommodations because of medical diagnosis or major life impairment will need to register with Accessible Campus Community & Equitable Student Support (ACCESS) and complete an intake process before accommodations will be given. Students who believe they have a diagnosis but do not have documentation should contact ACCESS for assistance and/or appropriate referral. The ACCESS office is located in the Student Success Center, Room 1270. You can also reach the office by e-mail at myaccess@siue.edu or by calling 618.650.3726. For more information on policies, procedures, or necessary forms, please visit the ACCESS website at www.siue.edu/access.

# 5.5 CS454 in a Nutshell



# 6 Tentative Schedule\*

\*Subject to adjustment and change. I reserve the right to change topics, add items of related interest, or adjust the schedule. All changes will be announced in class.

Week	Topics	References	Assignments/Exams
01	Introduction and Course Overview Regular Languages:	MS3e/1.1	Video 1-2-2 & 1-3-3
02	Deterministic Finite State Automata (DFA) Non-Deterministic Finite State Automata (NFA)	PL6e/2.1-2 HMU3e/2.2-3	S1 (in-class) Video 1-4-4
03	Equivalence and Regular Expressions Regular Grammars	PL6e/2.3, HMU3e/4.2 PL6e/4.1, MS3e/1.2	M1 « in
04	Closure Properties Non-Regular Languages, Pumping Lemma	MS3e/1.3-4, HMU3e/3.1 HMU3e/4.1	Video 2-1-5 & 2-2-6 Video 2-4-8, S2 (in-class)
05	<b>Context-Free Languages (CFL) :</b> Context-Free Grammars	MS3e/2.1 PL6e/5.1	Video 3-1-9 Video 3-3-10, & 3-3-11
06	<sup>‡</sup> MID TERM EXAM 01 Push-Down Automata, Normal Forms	MS3e/2.1-2 PL6e/6.2, 7.1	Video 3-4-12 Video 4-2-14, S3 (in-class)
07	Pumping Lemma for CFL CFL Properties and Equivalence	MS3e/2.3, HMU3e/5.1 PL6e/8.3, HMU3e/7.1-2	Video 4-1-13
08	Recursively-Enumerable Languages (REL): Recursive vs. REL	PL6e/11.1 HMU3e/9.1	S4 (in-class) Video 4-4-16 & Video 5-1-17
09	Turing Machines (TM)	MS3e/3.1, HMU3e/8.2 PL6e/9	S5 (in-class) M2 « in
10	Halting Problem <sup>‡</sup> MID TERM EXAM 02	CLRS3e/05	Video 5-2-18
11	$\leftarrow$ Buffer Space for Fallover $\rightarrow$ <b>Computational Complexity:</b> Undecidability	MS3e/4.1-2 HMU3e/9.2-3	Video 5-2-18
12	Complexity Classes: P, NP, NP-C P vs. NP	MS3e/7.2-5 HMU3e/10.1-2	S6 (in-class)
13	Satisfiability, Cook's Theorem Church-Turing Thesis	PL6e/9.3, MS3e/3.1	M3 « in Video 5-3-19 & 6-2-21
14	Topic TBA		MS Presentations
15	Thanksgiving Break		
16	Topic TBA		MS Presentations M4 « in
17	FINAL EXAM: Tuesday December 10 <sup>th</sup> 10.00 – 11.40 a.m.		