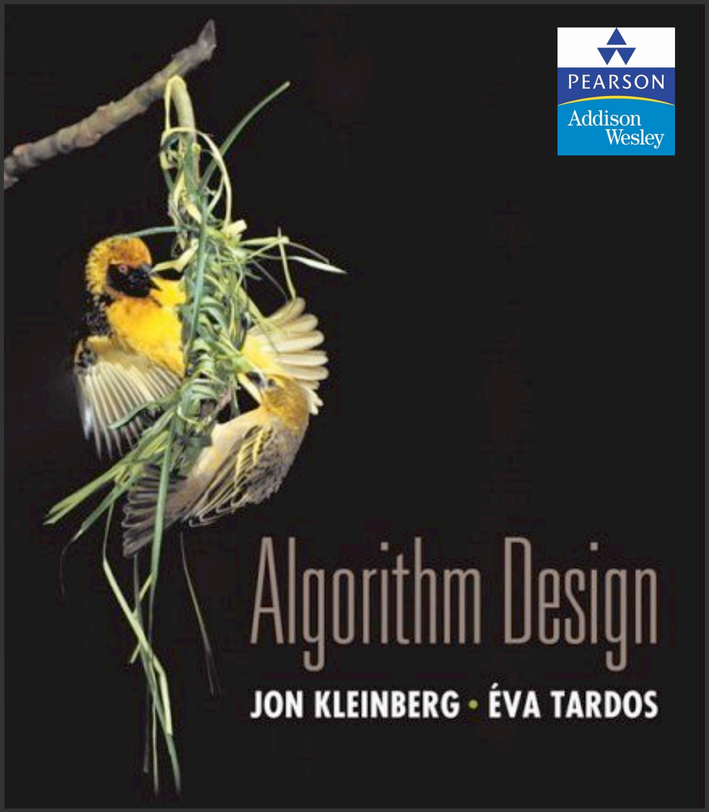


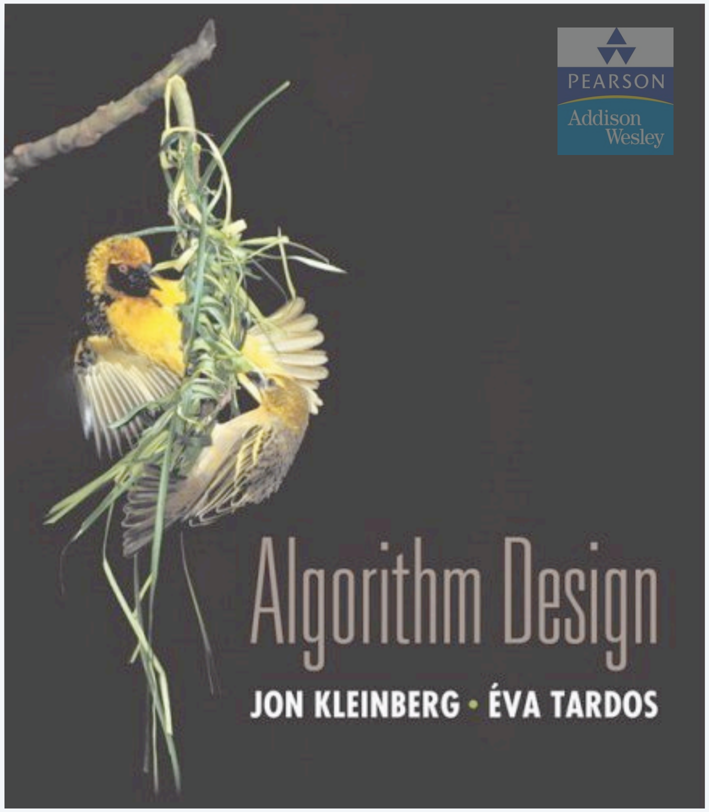
3. GRAPHS

- *basic definitions and applications*
- *graph connectivity and graph traversal*
- *testing bipartiteness*
- *connectivity in directed graphs*
- *DAGs and topological ordering*

Lecture slides by Kevin Wayne

http://www.cs.princeton.edu/~wayne/kleinberg-tardos

Last updated on Sep 8, 2013 6:19 AM



SECTION 3.1

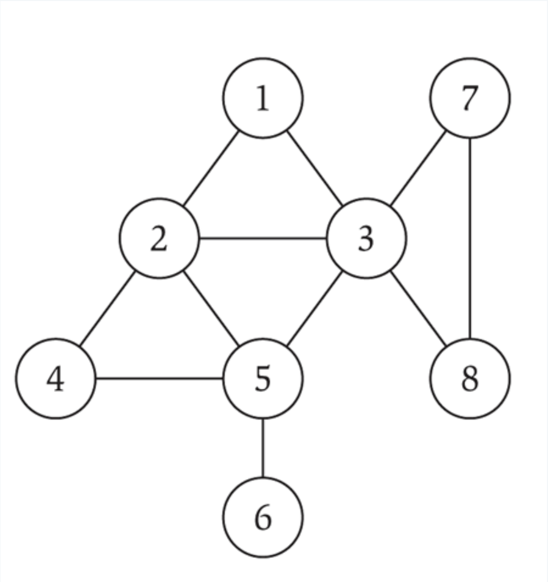
3. GRAPHS

- *basic definitions and applications*
- *graph connectivity and graph traversal*
- *testing bipartiteness*
- *connectivity in directed graphs*
- *DAGs and topological ordering*

Undirected graphs

Notation. $G = (V, E)$

- V = nodes.
- E = edges between pairs of nodes.
- Captures pairwise relationship between objects.
- Graph size parameters: $n = |V|$, $m = |E|$.



$V = \{ 1, 2, 3, 4, 5, 6, 7, 8 \}$

$E = \{ 1\text{-}2, 1\text{-}3, 2\text{-}3, 2\text{-}4, 2\text{-}5, 3\text{-}5, 3\text{-}7, 3\text{-}8, 4\text{-}5, 5\text{-}6, 7\text{-}8 \}$

$m = 11, n = 8$

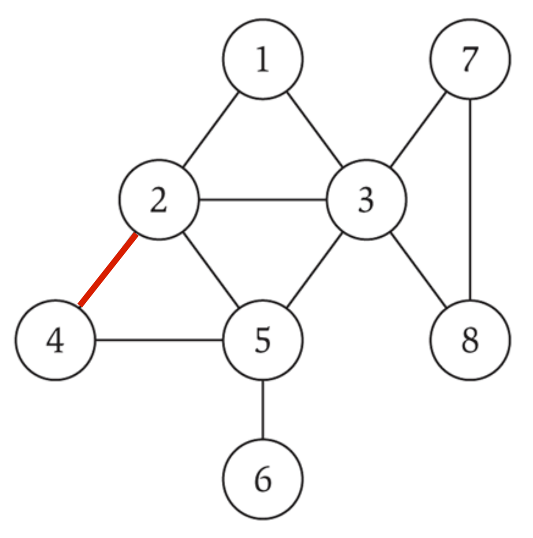
Some graph applications

graph	node	edge
communication	telephone, computer	fiber optic cable
circuit	gate, register, processor	wire
mechanical	joint	rod, beam, spring
financial	stock, currency	transactions
transportation	street intersection, airport	highway, airway route
internet	class C network	connection
game	board position	legal move
social relationship	person, actor	friendship, movie cast
neural network	neuron	synapse
protein network	protein	protein-protein interaction
molecule	atom	bond

Graph representation: adjacency matrix

Adjacency matrix. n-by-n matrix with $A_{uv} = 1$ if (u, v) is an edge.

- Two representations of each edge.
- Space proportional to n^2.
- Checking if (u, v) is an edge takes $\Theta(1)$ time.
- Identifying all edges takes $\Theta(n^2)$ time.



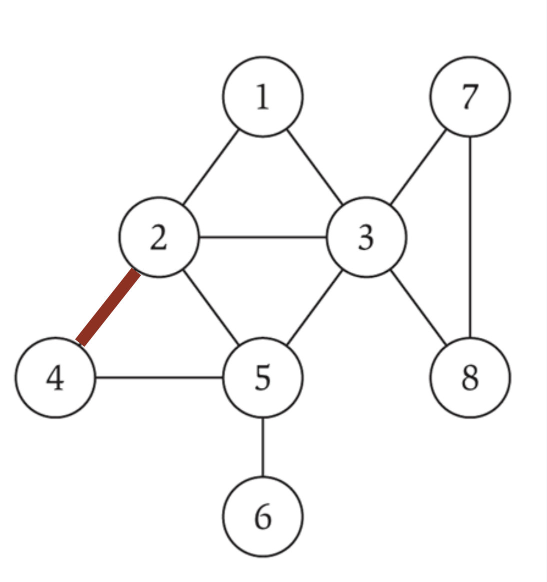
$$
\begin{array}{c|cccccccc}
 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\
\hline
1 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 0 \\
2 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 \\
3 & 1 & 1 & 0 & 0 & 1 & 0 & 1 & 1 \\
4 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 0 \\
5 & 0 & 1 & 1 & 1 & 0 & 1 & 0 & 0 \\
6 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\
7 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 \\
8 & 0 & 0 & 1 & 0 & 0 & 0 & 1 & 0
\end{array}
$$

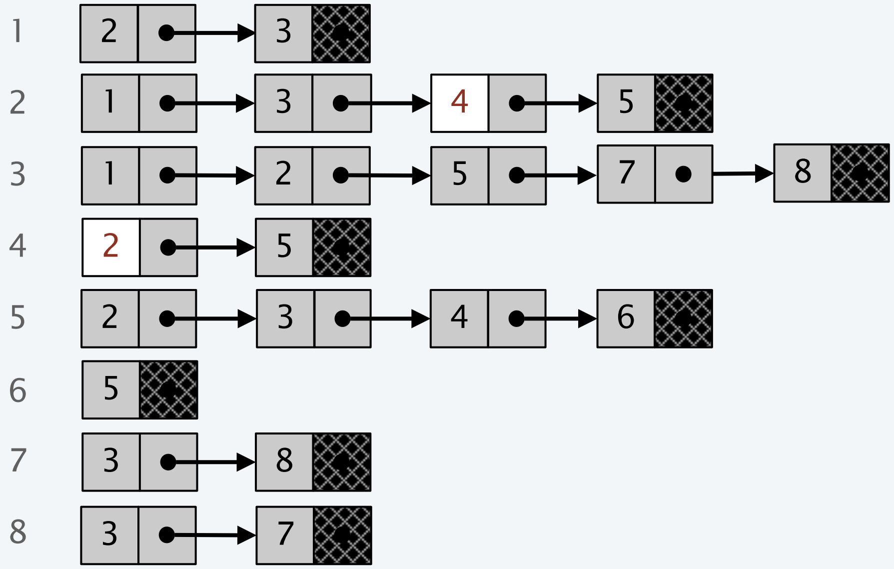
Graph representation: adjacency lists

Adjacency lists. Node indexed array of lists.

- Two representations of each edge.
- Space is $\Theta(m + n)$.
- Checking if (u, v) is an edge takes $O(degree(u))$ time.
- Identifying all edges takes $\Theta(m + n)$ time.

degree = number of neighbors of u



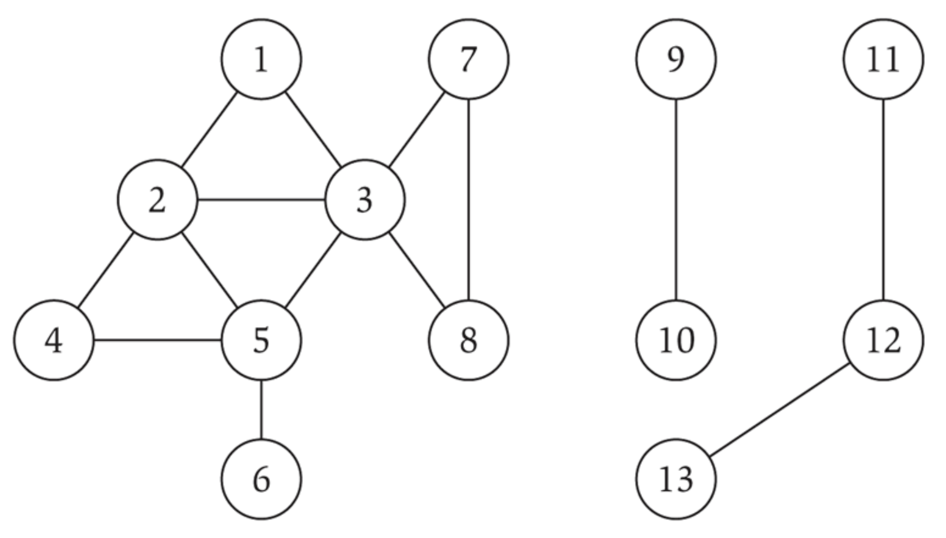


Paths and connectivity

Def. A path in an undirected graph $G = (V, E)$ is a sequence of nodes $v_1, v_2, \ldots, v_k$ with the property that each consecutive pair v_{i-1}, v_i is joined by an edge in E.

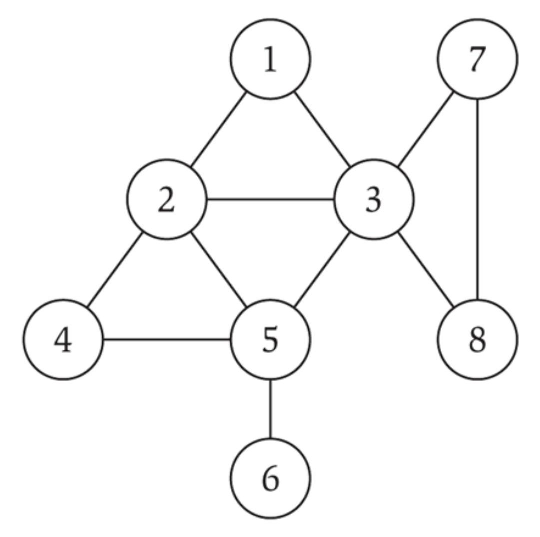
Def. A path is simple if all nodes are distinct.

Def. An undirected graph is connected if for every pair of nodes u and v, there is a path between u and v.



Cycles

Def. A cycle is a path $v_1, v_2, \ldots, v_k$ in which $v_1 = v_k$, $k > 2$, and the first $k - 1$ nodes are all distinct.



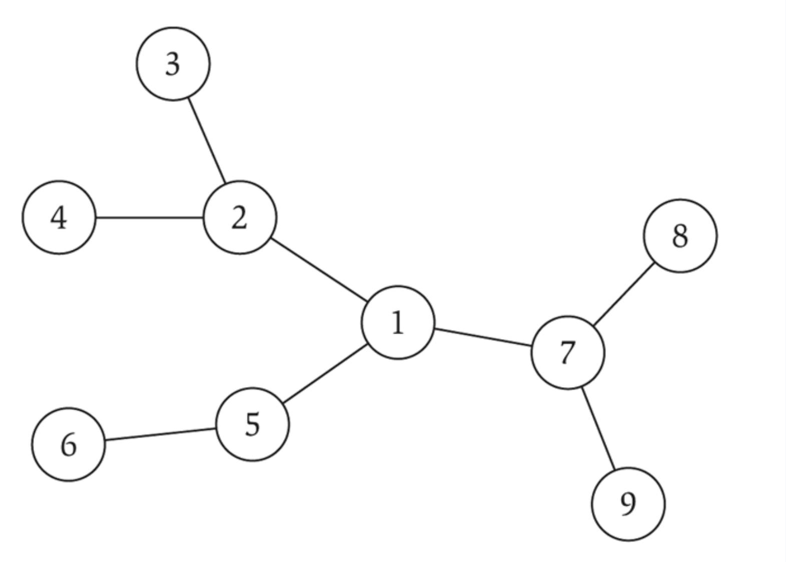
cycle C = 1-2-4-5-3-1

Trees

Def. An undirected graph is a tree if it is connected and does not contain a cycle.

Theorem. Let G be an undirected graph on n nodes. Any two of the following statements imply the third.

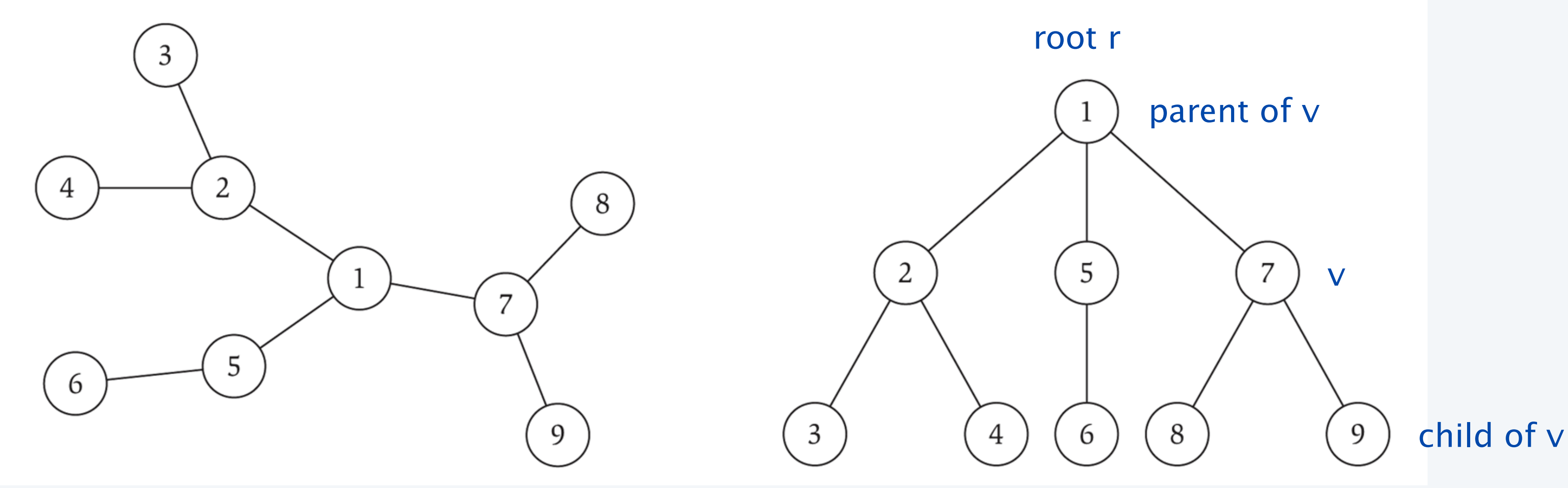
- G is connected.
- G does not contain a cycle.
- G has $n - 1$ edges.



Rooted trees

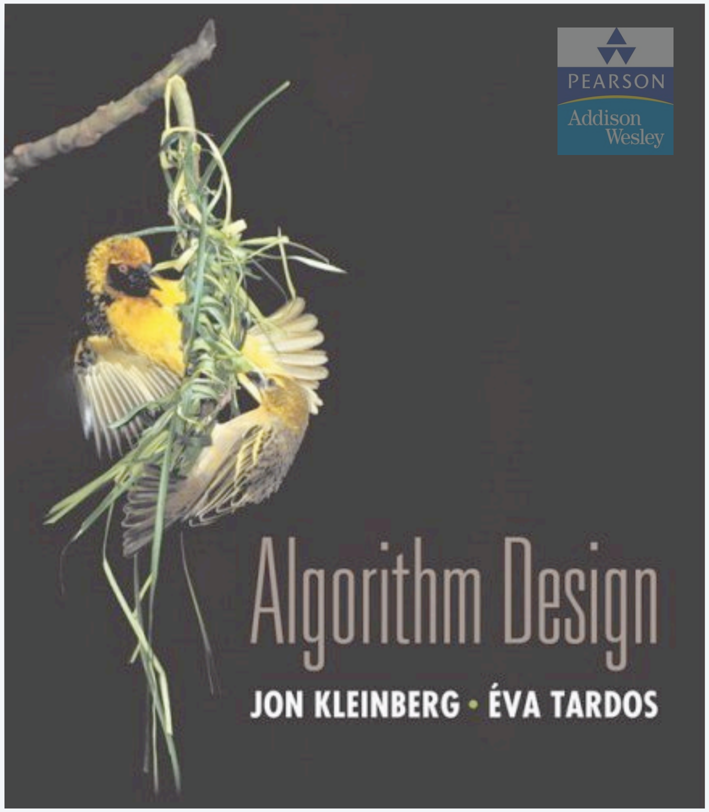
Given a tree T, choose a root node r and orient each edge away from r.

Importance. Models hierarchical structure.



a tree

the same tree, rooted at 1



SECTION 3.2

3. GRAPHS

- *basic definitions and applications*
- *graph connectivity and graph traversal*
- *testing bipartiteness*
- *connectivity in directed graphs*
- *DAGs and topological ordering*

Connectivity

s-t connectivity problem. Given two node s and t, is there a path between s and t ?

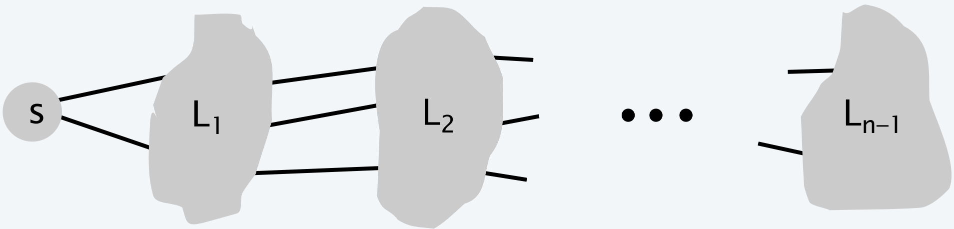
s-t shortest path problem. Given two node s and t, what is the length of the shortest path between s and t ?

Applications.

- Friendster.
- Maze traversal.
- Kevin Bacon number.
- Fewest number of hops in a communication network.

Breadth-first search

BFS intuition. Explore outward from s in all possible directions, adding nodes one "layer" at a time.



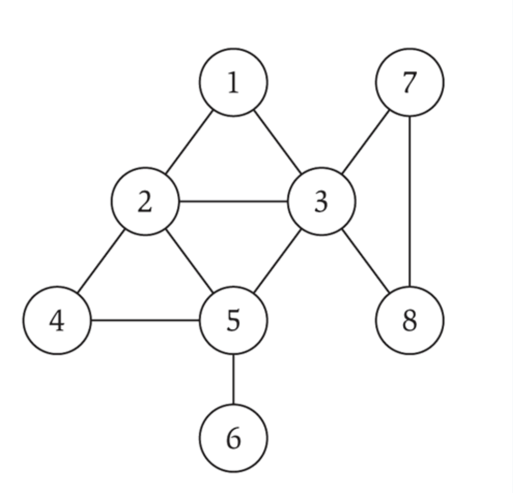
BFS algorithm.

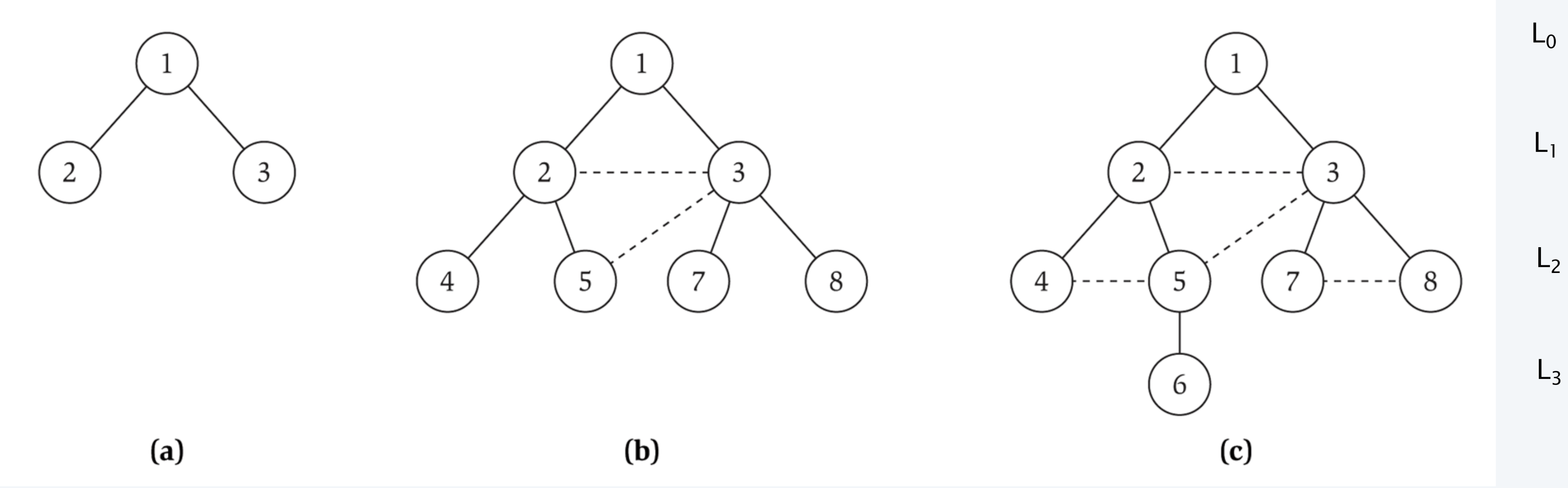
- $L_0 = \{ s \}$.
- L_1 = all neighbors of L_0.
- L_2 = all nodes that do not belong to L_0 or L_1, and that have an edge to a node in L_1.
- L_{i+1} = all nodes that do not belong to an earlier layer, and that have an edge to a node in L_i.

Theorem. For each i, L_i consists of all nodes at distance exactly i from s. There is a path from s to t iff t appears in some layer.

Breadth-first search

Property. Let T be a BFS tree of $G = (V, E)$, and let (x, y) be an edge of G. Then, the level of x and y differ by at most 1.





(a) (b) (c)

Breadth-first search: analysis

Theorem. The above implementation of BFS runs in $O(m + n)$ time if the graph is given by its adjacency representation.

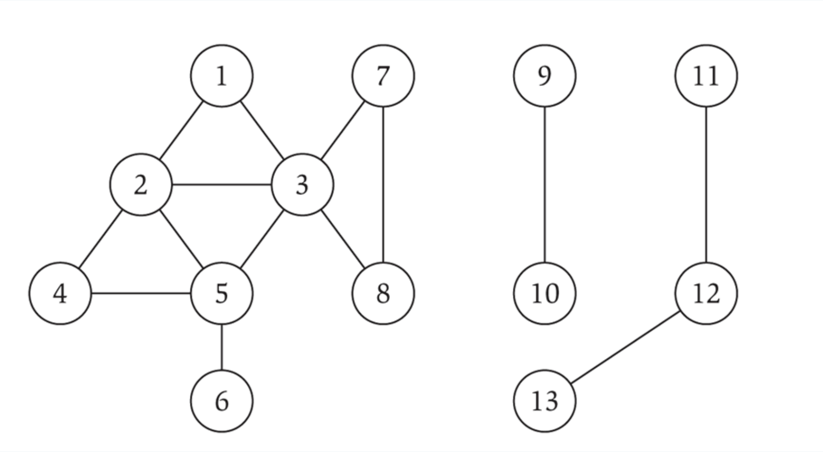
Pf.

- Easy to prove $O(n^2)$ running time:
  - at most n lists $L[i]$
  - each node occurs on at most one list; for loop runs $\leq n$ times
  - when we consider node u, there are $\leq n$ incident edges (u, v), and we spend $O(1)$ processing each edge
- Actually runs in $O(m + n)$ time:
  - when we consider node u, there are $degree(u)$ incident edges (u, v)
  - total time processing edges is $\Sigma_{u \in V}\ degree(u) \underset{\uparrow}{=} 2m$. ▪

each edge (u, v) is counted exactly twice
in sum: once in degree(u) and once in degree(v)

Connected component

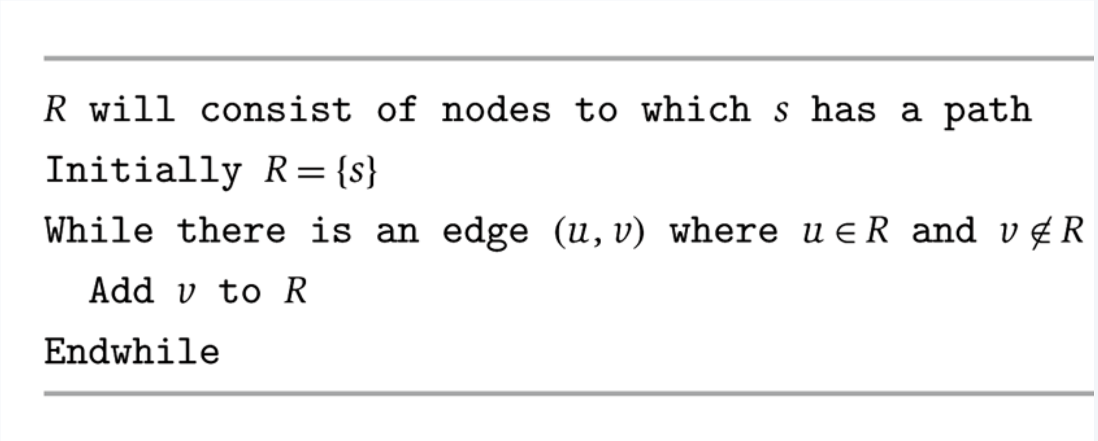
Connected component. Find all nodes reachable from s.



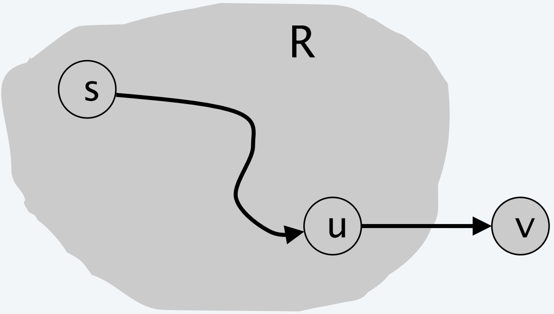
Connected component containing node $1 = \{ 1, 2, 3, 4, 5, 6, 7, 8 \}$.

Connected component

Connected component. Find all nodes reachable from s.



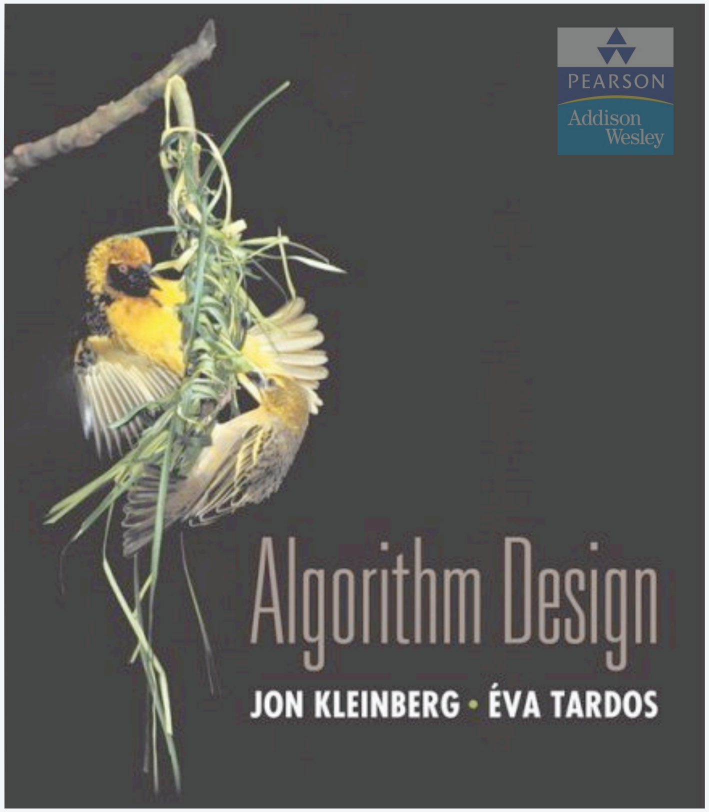
```
R will consist of nodes to which s has a path
Initially $R = \{s\}$
While there is an edge $(u, v)$ where $u \in R$ and $v \notin R$
  Add v to R
Endwhile
```



it's safe to add v

Theorem. Upon termination, R is the connected component containing s.

- BFS = explore in order of distance from s.
- DFS = explore in a different way.



SECTION 3.5

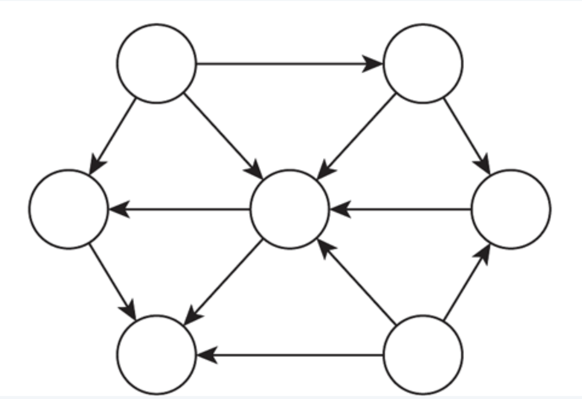
3. GRAPHS

- *basic definitions and applications*
- *graph connectivity and graph traversal*
- *testing bipartiteness*
- *connectivity in directed graphs*
- *DAGs and topological ordering*

Directed graphs

Notation. $G = (V, E)$.

- Edge (u, v) leaves node u and enters node v.



Ex. Web graph: hyperlink points from one web page to another.

- Orientation of edges is crucial.
- Modern web search engines exploit hyperlink structure to rank web pages by importance.

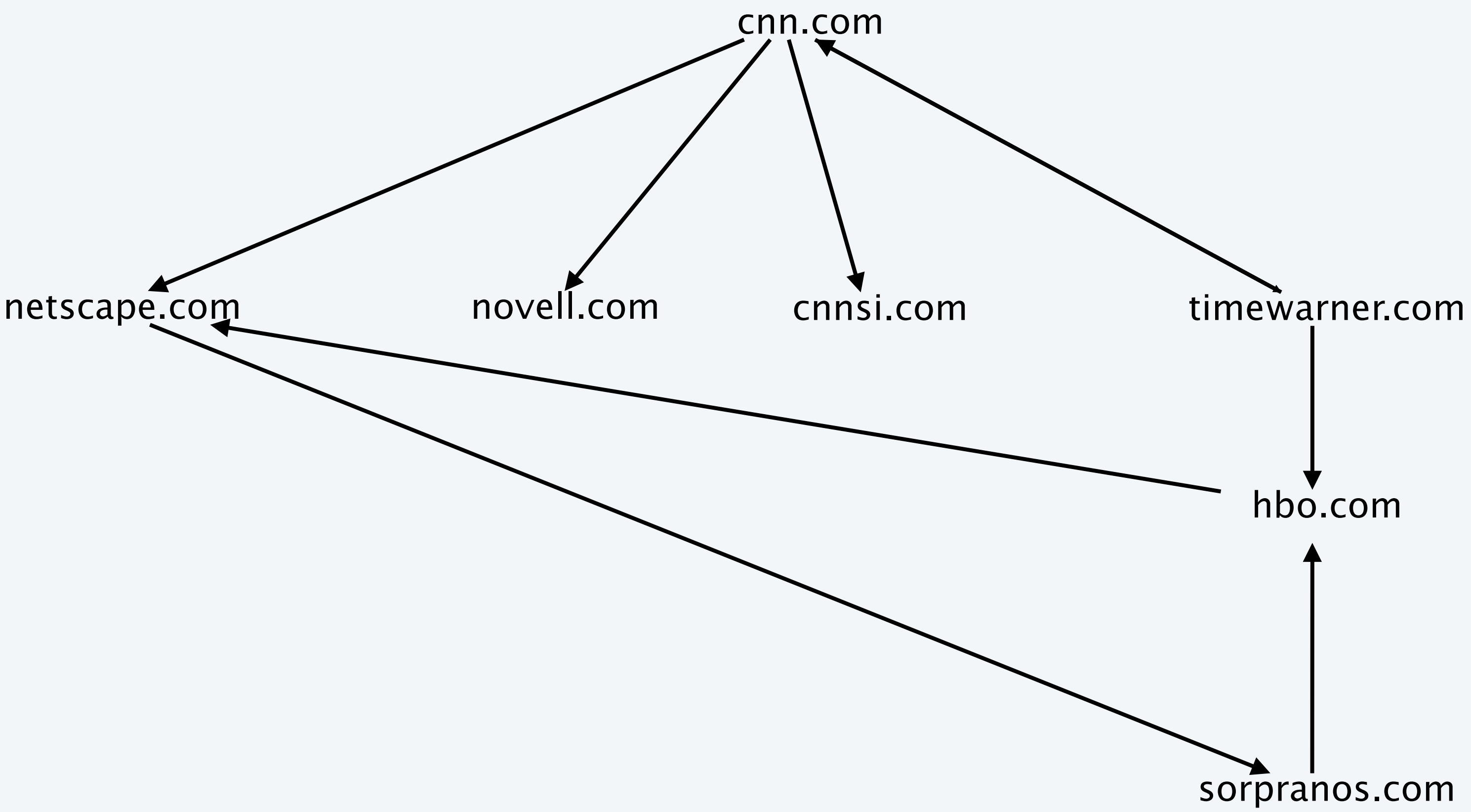
Some directed graph applications

directed graph	node	directed edge
transportation	street intersection	one-way street
web	web page	hyperlink
food web	species	predator-prey relationship
WordNet	synset	hypernym
scheduling	task	precedence constraint
financial	bank	transaction
cell phone	person	placed call
infectious disease	person	infection
game	board position	legal move
citation	journal article	citation
object graph	object	pointer
inheritance hierarchy	class	inherits from
control flow	code block	jump

World wide web

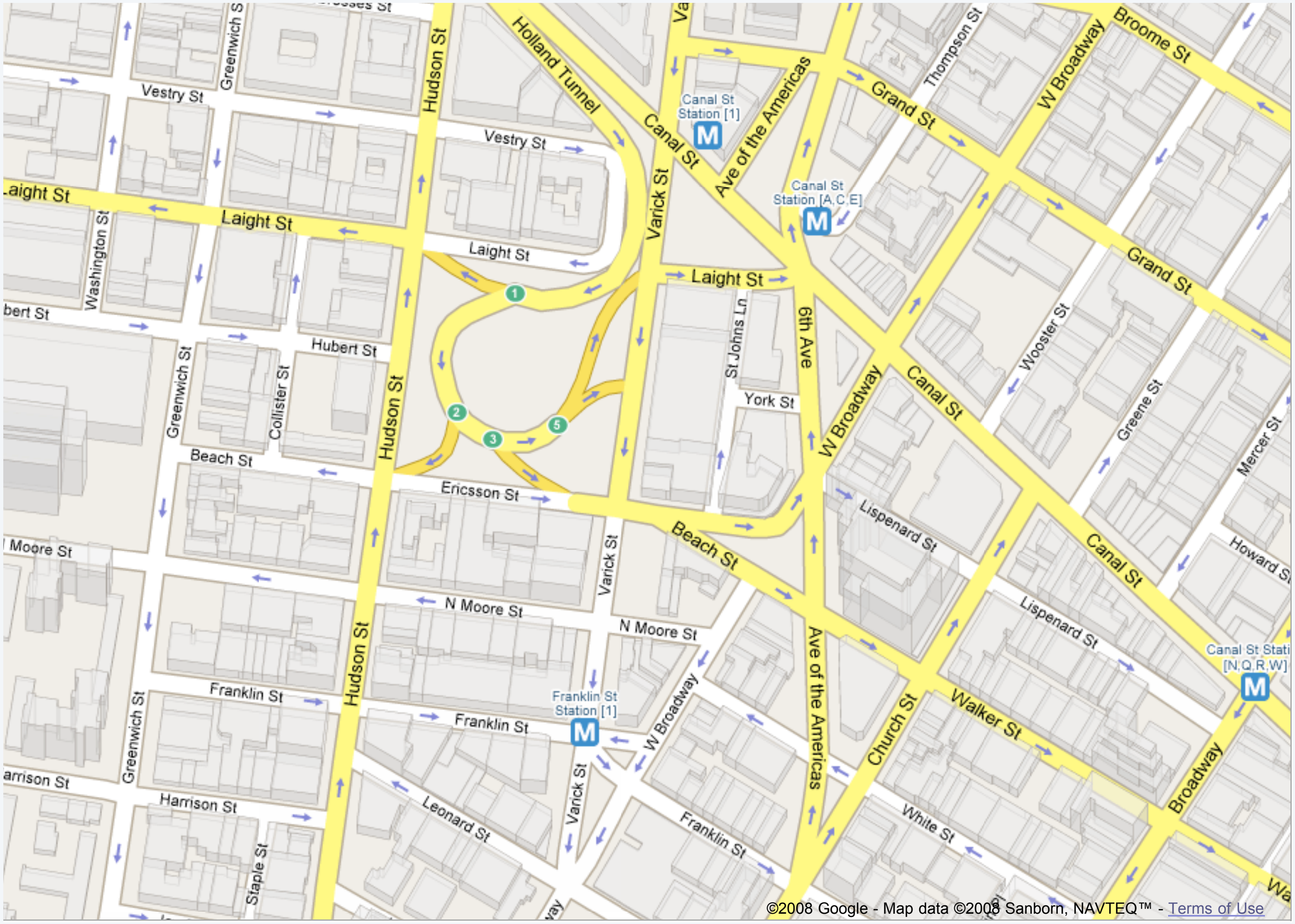
Web graph.

- Node: web page.
- Edge: hyperlink from one page to another (orientation is crucial).
- Modern search engines exploit hyperlink structure to rank web pages by importance.



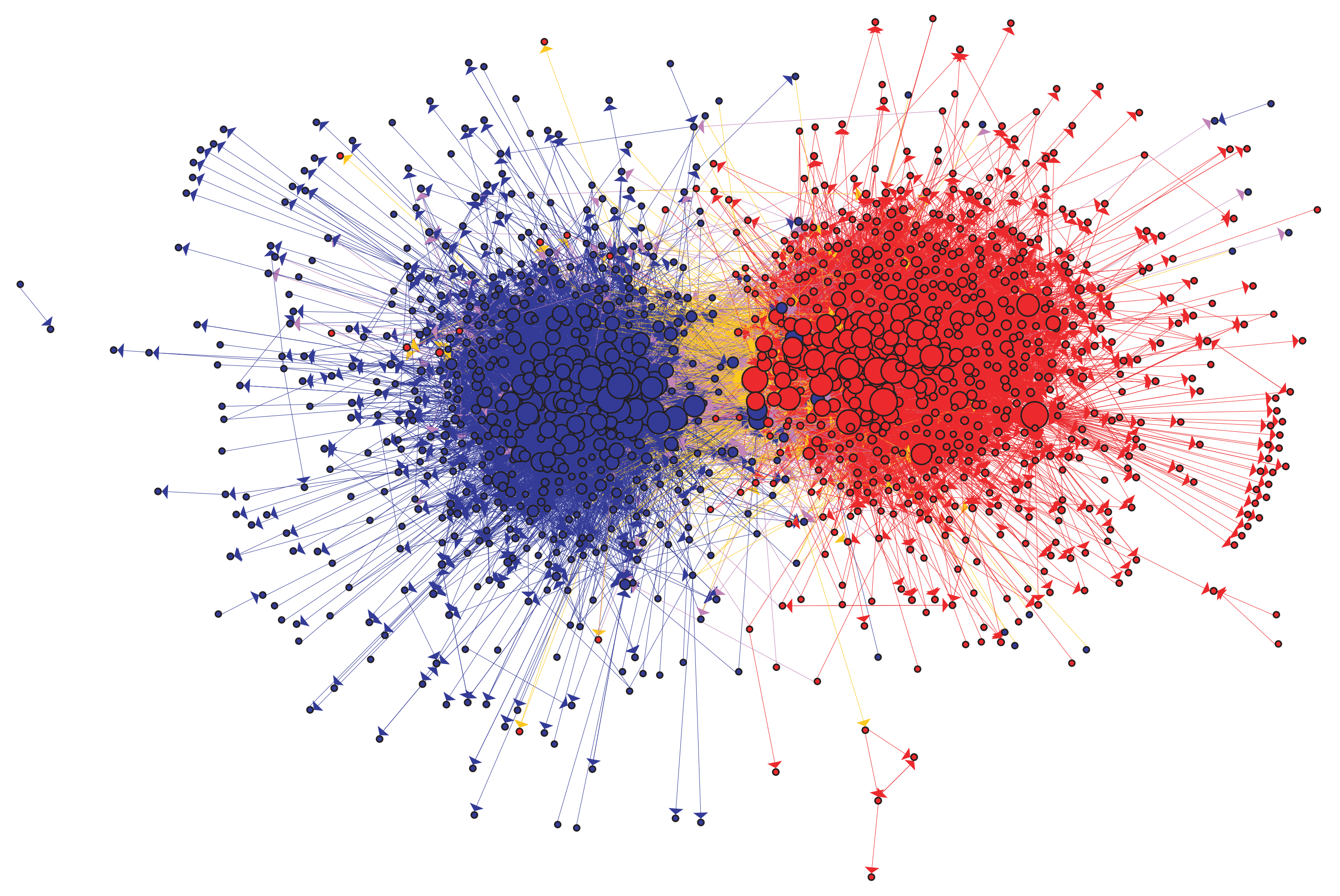
Road network

Vertex = intersection; edge = one-way street.



Political blogosphere graph

Vertex = political blog; edge = link.

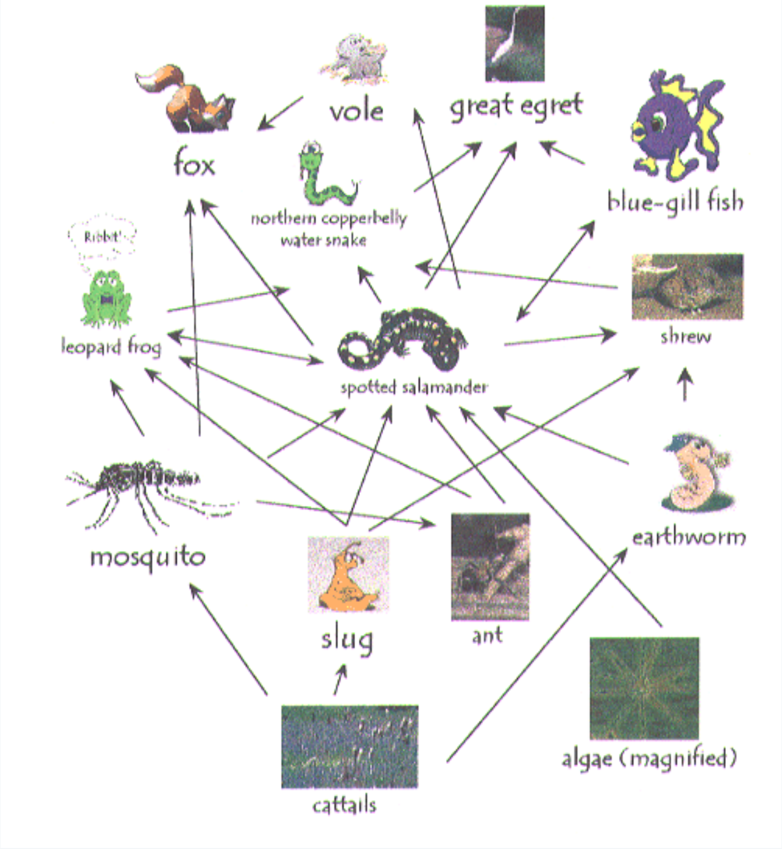


The Political Blogosphere and the 2004 U.S. Election: Divided They Blog, Adamic and Glance, 2005

Ecological food web

Food web graph.

- Node = species.
- Edge = from prey to predator.



Reference: http://www.twingroves.district96.k12.il.us/Wetlands/Salamander/SalGraphics/salfoodweb.giff

Graph search

Directed reachability. Given a node s, find all nodes reachable from s.

Directed s-t shortest path problem. Given two node s and t, what is the length of the shortest path from s and t ?

Graph search. BFS extends naturally to directed graphs.

Web crawler. Start from web page s. Find all web pages linked from s, either directly or indirectly.

Strong connectivity

Def. Nodes u and v are **mutually reachable** if there is a both path from u to v and also a path from v to u.

Def. A graph is **strongly connected** if every pair of nodes is mutually reachable.

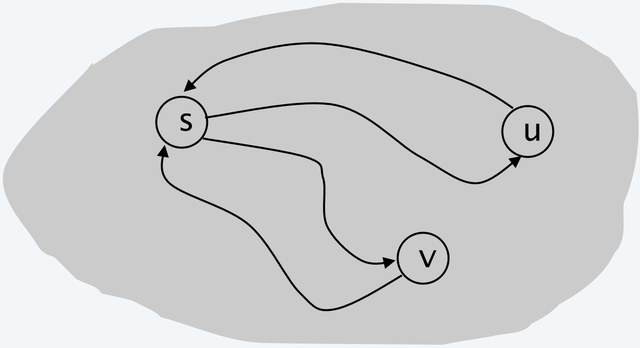
Lemma. Let s be any node. G is strongly connected iff every node is reachable from s, and s is reachable from every node.

Pf. $\Rightarrow$ Follows from definition.

Pf. $\Leftarrow$ Path from u to v: concatenate $u \rightsquigarrow s$ path with $s \rightsquigarrow v$ path.

Path from v to u: concatenate $v \rightsquigarrow s$ path with $s \rightsquigarrow u$ path. ▪

ok if paths overlap



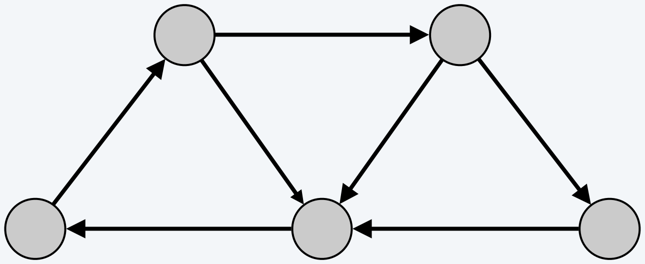
Strong connectivity: algorithm

Theorem. Can determine if G is strongly connected in $O(m + n)$ time.

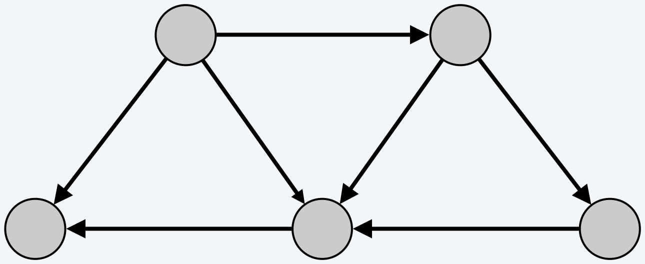
Pf.

- Pick any node s.
- Run BFS from s in G.
- Run BFS from s in $G^{reverse}$.
- Return true iff all nodes reached in both BFS executions.
- Correctness follows immediately from previous lemma. ▪

reverse orientation of every edge in G



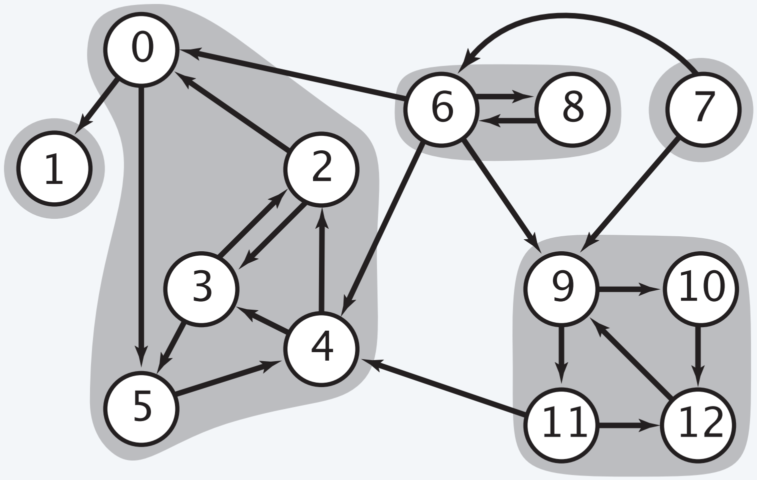
strongly connected



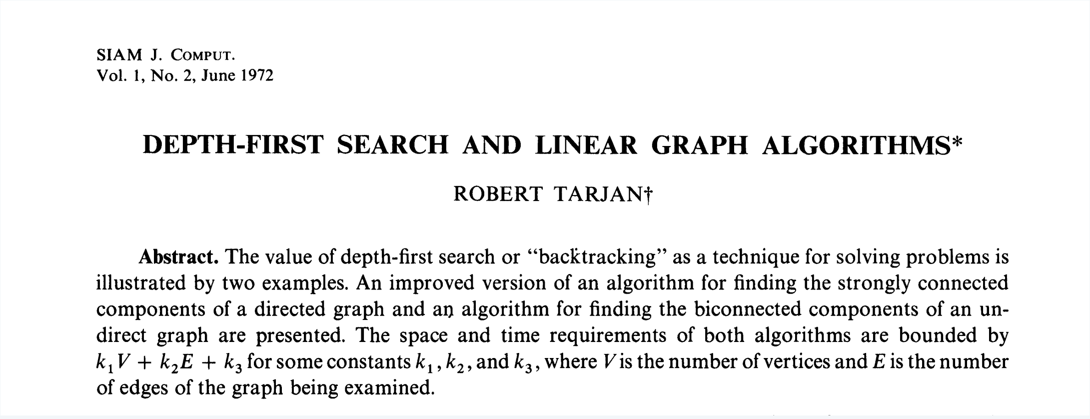
not strongly connected

Strong components

Def. A strong component is a maximal subset of mutually reachable nodes.



Theorem. [Tarjan 1972] Can find all strong components in $O(m + n)$ time.

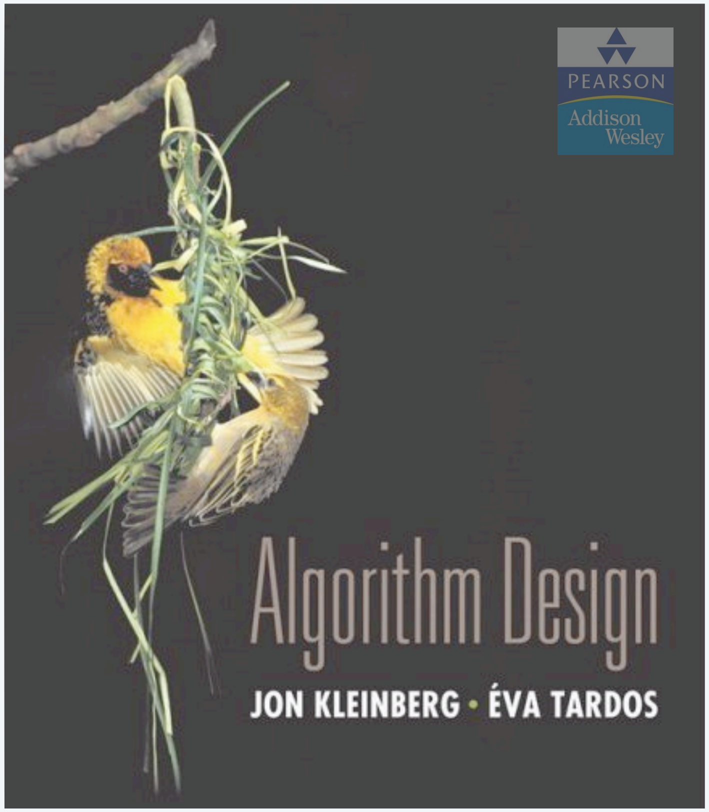


SIAM J. Comput.
Vol. 1, No. 2, June 1972

DEPTH-FIRST SEARCH AND LINEAR GRAPH ALGORITHMS*

ROBERT TARJAN†

Abstract. The value of depth-first search or "backtracking" as a technique for solving problems is illustrated by two examples. An improved version of an algorithm for finding the strongly connected components of a directed graph and an algorithm for finding the biconnected components of an undirect graph are presented. The space and time requirements of both algorithms are bounded by $k_1V + k_2E + k_3$ for some constants k_1, k_2, and k_3, where V is the number of vertices and E is the number of edges of the graph being examined.



SECTION 3.6

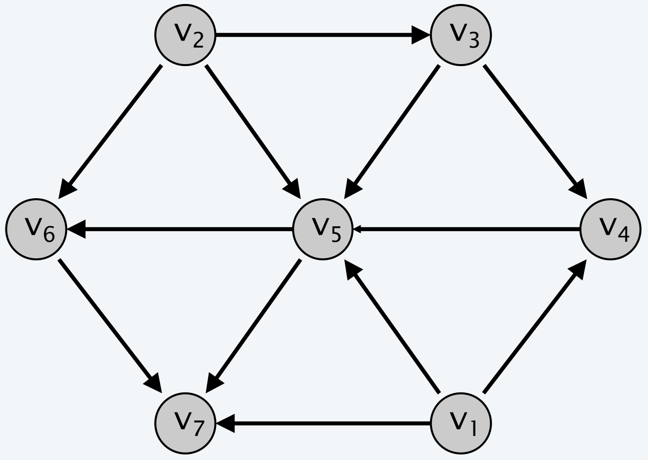
3. GRAPHS

- *basic definitions and applications*
- *graph connectivity and graph traversal*
- *testing bipartiteness*
- *connectivity in directed graphs*
- *DAGs and topological ordering*

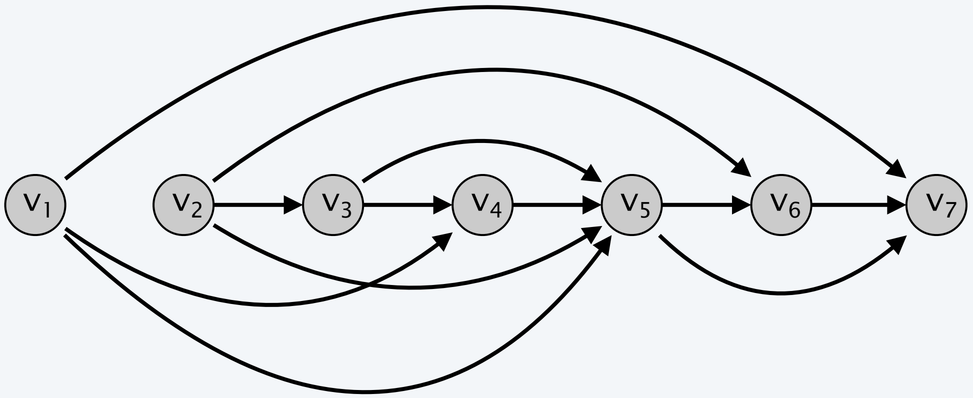
Directed acyclic graphs

Def. A DAG is a directed graph that contains no directed cycles.

Def. A topological order of a directed graph $G = (V, E)$ is an ordering of its nodes as $v_1, v_2, \dots, v_n$ so that for every edge (v_i, v_j) we have $i < j$.



a DAG



a topological ordering

Precedence constraints

Precedence constraints. Edge (v_i, v_j) means task v_i must occur before v_j.

Applications.

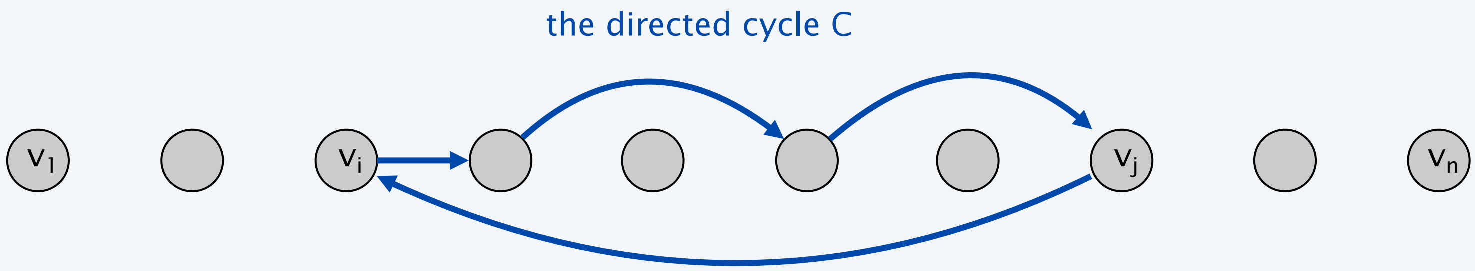
- Course prerequisite graph: course v_i must be taken before v_j.
- Compilation: module v_i must be compiled before v_j. Pipeline of computing jobs: output of job v_i needed to determine input of job v_j.

Directed acyclic graphs

Lemma. If G has a topological order, then G is a DAG.

Pf. [by contradiction]

- Suppose that G has a topological order $v_1, v_2, \ldots, v_n$ and that G also has a directed cycle C. Let's see what happens.
- Let v_i be the lowest-indexed node in C, and let v_j be the node just before v_i; thus (v_j, v_i) is an edge.
- By our choice of i, we have $i < j$.
- On the other hand, since (v_j, v_i) is an edge and $v_1, v_2, \ldots, v_n$ is a topological order, we must have $j < i$, a contradiction. ▪



the supposed topological order: $v_1, \ldots, v_n$

Directed acyclic graphs

Lemma. If G has a topological order, then G is a DAG.

Q. Does every DAG have a topological ordering?

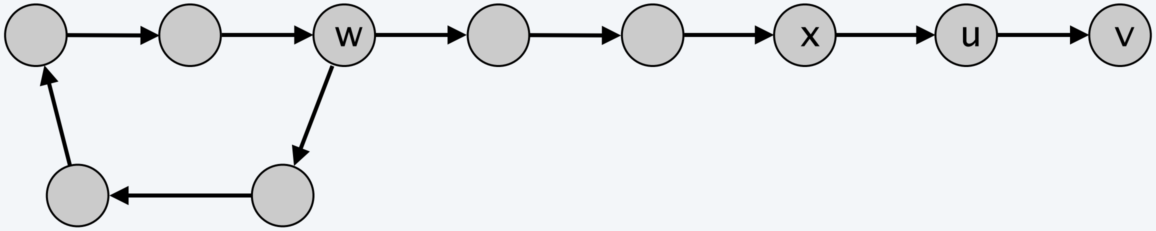
Q. If so, how do we compute one?

Directed acyclic graphs

Lemma. If G is a DAG, then G has a node with no entering edges.

Pf. [by contradiction]

- Suppose that G is a DAG and every node has at least one entering edge. Let's see what happens.
- Pick any node v, and begin following edges backward from v. Since v has at least one entering edge (u, v) we can walk backward to u.
- Then, since u has at least one entering edge (x, u), we can walk backward to x.
- Repeat until we visit a node, say w, twice.
- Let C denote the sequence of nodes encountered between successive visits to w. C is a cycle. ▪

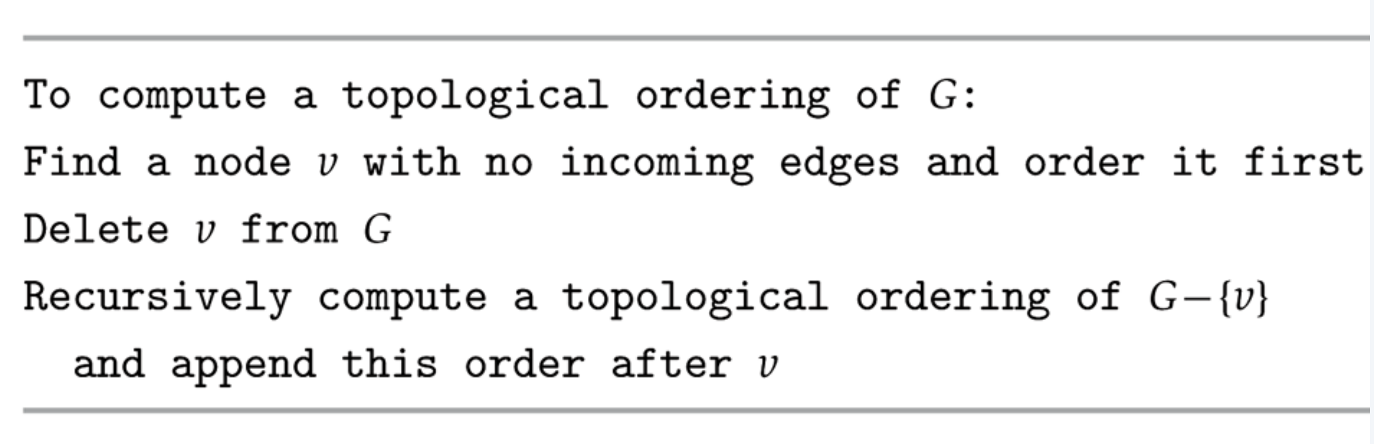


Directed acyclic graphs

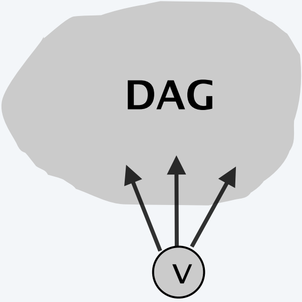
Lemma. If G is a DAG, then G has a topological ordering.

Pf. [by induction on n]

- Base case: true if $n = 1$.
- Given DAG on $n > 1$ nodes, find a node v with no entering edges.
- $G - \{ v \}$ is a DAG, since deleting v cannot create cycles.
- By inductive hypothesis, $G - \{ v \}$ has a topological ordering.
- Place v first in topological ordering; then append nodes of $G - \{ v \}$
- in topological order. This is valid since v has no entering edges. ▪



```
To compute a topological ordering of $G$:
Find a node $v$ with no incoming edges and order it first
Delete $v$ from $G$
Recursively compute a topological ordering of $G-\{v\}$
  and append this order after $v$
```



Topological sorting algorithm: running time

Theorem. Algorithm finds a topological order in $O(m + n)$ time.

Pf.

- Maintain the following information:
  - $count(w)$ = remaining number of incoming edges
  - S = set of remaining nodes with no incoming edges
- Initialization: $O(m + n)$ via single scan through graph.
- Update: to delete v
  - remove v from S
  - decrement $count(w)$ for all edges from v to w; and add w to S if $count(w)$ hits 0
  - this is $O(1)$ per edge ▪